### Edgar Filing: PORTOLA PHARMACEUTICALS INC - Form 4

#### PORTOLA PHARMACEUTICALS INC

Form 4 June 05, 2017

## **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

> Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Maxwell (Mauritius) Pte Ltd

Symbol

PORTOLA PHARMACEUTICALS INC [PTLA]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner Other (specify Officer (give title

(Month/Day/Year)

C/O CIM CORPORATE SERVICES 06/01/2017

LTD.,, LES CASCADES, EDITH

(Street)

(State)

06/01/2017

CAVELL ST.

(City)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

below)

PORT LOUIS, 04

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5)

Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4)

(Month/Day/Year) (Instr. 8)

Reported (I) (A) Transaction(s)

5. Amount of

(Instr. 4)

Code V (D) Price Amount

(Instr. 3 and 4)

 $D_{\underline{(1)}}$ S 4,133,568 1,700,000

stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

7. Nature of

# Edgar Filing: PORTOLA PHARMACEUTICALS INC - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Tit	le and ant of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Unde	rlving	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)		`	,	Secur	, ,	(Instr. 5)	Bene
(Illisti: 5)	Derivative		(Month Buji Tear)	(111511.0)	Securities				. 3 and 4)	(Illisti: 5)	Owne
	Security				Acquired			(IIISti	. 5 and 1)		Follo
	Security				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
						Date Exercisable	Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Maxwell (Mauritius) Pte Ltd C/O CIM CORPORATE SERVICES LTD., LES CASCADES, EDITH CAVELL ST. PORT LOUIS, O4		X				
Cairnhill Investments (Mauritius) Pte Ltd C/O CIM CORPORATE SERVICES LTD., LES CASCADES, EDITH CAVELL ST. PORT LOUIS, O4		X				
Fullerton Management Pte Ltd 60B ORCHARD ROAD #06-18, TOWER 2 THE ATRIUM@ORCHARD SINGAPORE, U0 238891		X				
Temasek Holdings (Private) Ltd 60B ORCHARD ROAD #06-18, TOWER 2 THE ATRIUM@ORCHARD SINGAPORE, U0 238891		X				

# **Signatures**

By: /s/ Rooksana Shahabally, Director, Maxwell (Mauritius) Pte Ltd					
**Signature of Reporting Person	Date				
By: /s/ Rooksana Shahabally, Director, Cairnhill Investments (Mauritius) Pte Ltd					
**Signature of Reporting Person	Date				
By: /s/ Cheong Kok Tim, Director, Fullerton Management Pte Ltd					
**Signature of Reporting Person	Date				
By: /s/ Christina Choo, Authorized Signatory, Temasek Holdings (Private) Limited	06/05/2017				

Reporting Owners 2

## Edgar Filing: PORTOLA PHARMACEUTICALS INC - Form 4

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Maxwell (Mauritius) Pte Ltd ("Maxwell") owns 4,133,568 shares of the Issuer's common stock ("Shares") and V-Sciences Investments Pte Ltd ("V-Sciences") owns 750,000 Shares. Maxwell is wholly owned by Cairnhill Investments (Mauritius) Pte Ltd, which is wholly
- owned by Fullerton Management Pte Ltd ("FMPL"). V-Sciences is wholly owned by Temasek Life Sciences Private Limited, which is wholly owned by FMPL. FMPL is wholly owned by Temasek Holdings (Private) Limited. Accordingly, each of FMPL and Temasek Holdings (Private) Limited, through the ownership described herein, may be deemed to beneficially own the 4,883,568 Shares owned by Maxwell and V-Sciences, collectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3