Wolf Pen Branch GP, LLC Form 4 March 30, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION COMMIS

OMB APPROVAL

Washington, D.C. 20549

OMB Number: 3235-0287

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
Estimated average

0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Wolf Pen Branch GP, LLC

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

BROWN FORMAN CORP [BFA,

(Check all applicable)

BFB1

(Last) (First) (Middle)

3. Date of Earliest Transaction

\_\_ Director \_\_\_\_X\_\_\_10% Owner \_\_\_\_Officer (give title \_\_\_\_\_ Other (specify

(Month/Day/Year) 03/29/2018

C/O THE GLENVIEW TRUST COMPANY, 4946 U.S. HIGHWAY

(Street)

42, SUITE 2000

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

below)

LOUISVILLE, KY 40222

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

 Reported
Transaction(s)
(Instr. 3 and 4)

Following

(Instr. 3 and 4)

Class B Common

Stock

03/29/2018

 $J_{\underline{(1)}} \qquad \begin{array}{c} 105,000 \\ {}_{(2)} \end{array}$ 

D \$0 0

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

### Edgar Filing: Wolf Pen Branch GP, LLC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	Derivative		Securities		(Instr. 5)	Bene
	Derivative				Securities		(Instr. 3 and 4)			Owne	
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date Exercisable	Expiration Date	Title	or Namel		
									Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

Wolf Pen Branch GP, LLC C/O THE GLENVIEW TRUST COMPANY 4946 U.S. HIGHWAY 42, SUITE 2000 LOUISVILLE, KY 40222

X

## **Signatures**

Wolf Pen Branch GP, LLC, By: /s/ Martin S. Brown, Jr., Name: Martin S. Brown, Jr., Title: Chairman

03/30/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person distributed the shares to its members on a pro rata basis.
- The reporting person received these shares in a pro rata distribution from Wolf Pen Branch, LP, of which the reporting person is the **(2)** general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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