Byrne Thomas Farrell II Form 4 June 27, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Expires:

Washington, D.C. 20549

3235-0287 Number: January 31,

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Byrne Thomas Farrell II Issuer Symbol Vera Bradley, Inc. [VRA] (Last) (First) (Middle) 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year) C/O VERA BRADLEY, INC., 12420 06/19/2018

Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title below)

STONEBRIDGE ROAD

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ROANOKE, IN 46783

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities oner Disposed (Instr. 3, 4	d of (I	<b>O</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/19/2018		G V	11,500	D	\$ 0	77,497 <u>(1)</u>	D			
Common Stock	06/25/2018		S	400,000	D	\$ 14.43	3,010,469 (2)	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust		
Common Stock	06/26/2018		S(3)	200,000	D	\$ 14.43	2,810,469 (2)	I	By Barbara B.		

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			Baekgaard 2009 Grantor Retained Annuity Trust
Common Stock	41,122	I	By Thomas F. Byrne, II 2016 Grantor Retained Annuity Trust #1
Common Stock	411,003 <u>(1)</u>	I	By Thomas F. Byrne, II 2017 Grantor Retained Annuity Trust #1
Reminder: Report on a separate line for each class of securities bene	Persons who respond to the collecting information contained in this form required to respond unless the for displays a currently valid OMB connumber.	are not m	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. orNumber	6. Date Exerc Expiration D		7. Title		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative		•		Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						D.	г	(	or		
						Date Exercisable	Expiration Date	Title Numb	Number		
								(	of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

#### Edgar Filing: Byrne Thomas Farrell II - Form 4

Director 10% Owner Officer Other

Byrne Thomas Farrell II C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783

X

### **Signatures**

/s/ Thomas F. Byrne, II 06/27/2018

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On June 19, 2018, the Thomas F. Byrne, II 2017 Grantor Retained Annuity Trust #1 (the "2017 GRAT") made an annuity payment of
- (1) 88,997 shares of Issuer common stock to the reporting person. The reporting person is the settlor, sole trustee and sole annuitant of the 2017 GRAT.
- The reporting person disclaims beneficial ownership of these shares, except to the extent of the reporting person's pecuniary interest therein.
- (3) This transaction constitutes a sale of shares to the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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