

Edgar Filing: CANGEMI THOMAS R - Form 5

CANGEMI THOMAS R  
Form 5  
February 14, 2003

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| FORM 5 |
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

☐ Check box if  
no longer subject to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b).

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

(Print or Type Responses)

1. Name and Address of Reporting Person\*

|                    |         |          |
|--------------------|---------|----------|
| Cangemi            | Thomas  | R.       |
| (Last)             | (First) | (Middle) |
| 615 Merrick Avenue |         |          |
| (Street)           |         |          |
| Westbury           | NY      | 11590    |
| (City)             | (State) | (Zip)    |

2. Issuer Name and Ticker or Trading Symbol    New York Community Bancorp, Inc.  
 (NYB)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year    December 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director    X    Officer    \_\_\_\_ 10% Owner    \_\_\_\_ Other

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----- (give title below) (specify below)

Executive Vice President

-----

7. Individual or Joint/Group Filing  
(Check Applicable Line)

X Form filed by One Reporting Person  
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\_\_\_\_ Form filed by More than One Reporting Person

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TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA  
-----

| 1. Title<br>of<br>Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br>(mm/dd/<br>yy) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(mm/dd/yy) | 3. Trans-<br>action<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount<br>Secur<br>Benef<br>Owned<br>End o<br>Fisca<br>(Inst |
|------------------------------------------|-----------------------------------------------|-------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------|
|                                          |                                               |                                                             | Code                                      | Amount (A) or (D)                                                       | Price                                                           |
| Common Stock                             |                                               |                                                             |                                           |                                                                         | 151                                                             |
| Common Stock                             |                                               |                                                             |                                           |                                                                         | 1                                                               |
| Common Stock                             |                                               |                                                             |                                           |                                                                         | 42                                                              |
| Common Stock                             |                                               |                                                             |                                           |                                                                         | 32                                                              |
| Common Stock                             |                                               |                                                             |                                           |                                                                         | 1                                                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or i

\*If the form is filed by more than one reporting person, see Instruction 4(b) (v) .

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(Over)  
SEC 2270 (9-02)

FORM 5 (continued)

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TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OW  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  
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| 1. Title of Derivative | 2. Conver- | 3. Trans- | 4. Transac- | 5. |
|------------------------|------------|-----------|-------------|----|
|------------------------|------------|-----------|-------------|----|

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| Security (Instr. 3)                    | sion or<br>Exercise<br>Price of<br>Deriv-<br>ative<br>Security | action<br>Date<br>(Month/<br>Day/<br>Year) | tion Code<br>(Instr. 8) |   |
|----------------------------------------|----------------------------------------------------------------|--------------------------------------------|-------------------------|---|
| Option to Purchase<br>Common Stock (4) | \$9.31                                                         |                                            |                         |   |
| Option to Purchase<br>Common Stock (4) | \$9.31                                                         |                                            |                         |   |
| Option to Purchase<br>Common Stock (5) | \$28.54                                                        |                                            |                         |   |
| Option to Purchase<br>Common Stock (6) | \$24.61                                                        | 7/24/02                                    | A                       | 1 |

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 6. Date Exer-<br>cisable and<br>Expiration<br>Date<br>(Month/Day/<br>Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity<br>(Instr.<br>5) | 9. Number of<br>Deriv-<br>ative<br>Secur-<br>ities<br>Bene-<br>ficially<br>Owned<br>At End<br>of Year<br>(Instr. 4) | 10. Owner-<br>ship<br>of De-<br>rivative<br>Security:<br>Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |
|----------------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| Date<br>Exer-<br>cisable                                                   | Expira-<br>tion<br>Date                                             | Title                                                               | Amount or<br>Number of<br>Shares                                                                                    |                                                                                                         |
| 10/20/01                                                                   | 10/20/08                                                            | Common<br>Stock                                                     | 32,208                                                                                                              | D                                                                                                       |
| 10/20/01                                                                   | 10/20/08                                                            | Common<br>Stock                                                     | 210,360                                                                                                             | D                                                                                                       |
| 1/21/04                                                                    | 1/21/13                                                             | Common<br>Stock                                                     | 210,000                                                                                                             | D                                                                                                       |
| 7/24/03                                                                    | 7/24/12                                                             | Common<br>Stock                                                     | 150,000                                                                                                             | D                                                                                                       |

## Explanation of Responses:

- All shares held directly are held jointly with Mr. Cangemi's wife.
- This form reflects increases in beneficial ownership resulting from exempt acquisitions under an Employee Stock Ownership Plan ("ESOP") pursuant to

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Rule 16b-3(c).

- (3) Includes shares rolled over from the Richmond County Savings Bank ESOP to the New York Community Bank 401(k) Plan. Also includes increases in beneficial ownership resulting from exempt acquisitions under the 401(k) Plan pursuant to Rule 16b-3(c). For purposes of this report, units in the 401(k) plan have been converted into an approximate number of shares of New York Community Bancorp, Inc. ("NYCB") common stock. The actual number of shares held by the reporting person in this account may vary when such units are actually converted into shares upon distribution of the units to the reporting person.
- (4) In connection with the merger of Richmond County with and into NYCB on July 31, 2001, each option to purchase 1 share of Richmond County common stock held by the reporting person was converted to an option to purchase 1.02 shares of NYCB common stock. The exercise price per share for each converted NYCB option was determined by dividing the exercise price of such option by the 1.02 ratio, with such quotient to be rounded to the nearest whole cent. Options vest in three equal annual installments beginning on October 20, 2001.
- (5) Options granted pursuant to the NYCB 1997 Stock Option Plan that vest in three equal annual installments beginning on January 21, 2004.
- (6) Options granted pursuant to the NYCB 1997 Stock Option Plan that vest in three equal annual installments beginning on July 24, 2003.

/s/ Ilene A. Angarola

February 14, 2003

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\*\*Signature of Reporting Person

-----  
Date

By: Ilene A. Angarola, Power of Attorney  
For: Thomas R. Cangemi

\*\* Intentional misstatements or omissions of facts constitute  
Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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