

Edgar Filing: DIBENEDETTOS RUSS - Form 5

DIBENEDETTOS RUSS

Form 5

February 14, 2003

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/ OMB APPROVAL /  
/-----/  
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| FORM 5 |  
+-----+

U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

[X] Check box if  
no longer subject to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b). ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

[\_] Form 3 Holdings Reported

[\_] Form 4 Transactions Reported

(Print or Type Responses)

1. Name and Address of Reporting Person\*

DiBenedetto

Russ

(Last)

(First)

(Middle)

615 Merrick Avenue

(Street)

Westbury

NY

11590

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol New York Community Bancorp, Inc.

(NYB)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year December 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director

X

Officer

\_\_\_

10% Owner

\_\_\_

Other

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----- (give title below) (specify below)

Senior VP and Chief Auditor

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## 7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

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☐ Form filed by More than One Reporting Person

TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFIC

| 1. Title<br>of<br>Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br>(mm/dd/<br>yy) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(mm/dd/yy) | 3. Trans-<br>action<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) |               |       | 5. Amount<br>Secur<br>Benef<br>Owned<br>End o<br>Fisca<br>(Inst |
|------------------------------------------|-----------------------------------------------|-------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------|---------------|-------|-----------------------------------------------------------------|
|                                          |                                               |                                                             | Code                                      | Amount                                                                  | (A) or<br>(D) | Price |                                                                 |
| Common Stock                             |                                               |                                                             |                                           |                                                                         |               |       | 245                                                             |
| Common Stock                             |                                               |                                                             |                                           |                                                                         |               |       | 25                                                              |
| Common Stock                             |                                               |                                                             |                                           |                                                                         |               |       | 122                                                             |
| Common Stock                             |                                               |                                                             |                                           |                                                                         |               |       | 131                                                             |
| Common Stock                             |                                               |                                                             |                                           |                                                                         |               |       | 60                                                              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or i

\*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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(Over)  
SEC 2270(9-02)

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OW

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(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|--------------------------------|----|
| Option to Purchase Common Stock (6)        | \$22.23                                                |                                      |                                |    |
| Option to Purchase Common Stock (7)        | \$27.405                                               |                                      |                                |    |
| Option to Purchase Common Stock (8)        | \$24.61                                                |                                      |                                |    |
| Option to Purchase Common Stock (9)        | \$28.54                                                |                                      |                                |    |

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned At End of Year (Instr. 4) | 10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) |
|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                      |                                                                             |
| 12/21/02                                                 | 12/21/11                                                      | Common Stock                               | 15,000                                                                          | D                                                                           |
| 7/24/02                                                  | 1/24/12                                                       | Common Stock                               | 303,750                                                                         | D                                                                           |
| 7/24/03                                                  | 7/24/12                                                       | Common Stock                               | 15,000                                                                          | D                                                                           |
| 1/21/04                                                  | 1/21/13                                                       | Common Stock                               | 15,000                                                                          | D                                                                           |

Explanation of Responses:

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- (1) All shares held directly are held jointly with Mr. DiBenedetto's wife.
- (2) Represents shares previously reported as being held by Mr. DiBenedetto directly that are now held by the DiBenedetto Family Foundation of which Mr. DiBenedetto is a Trustee.
- (3) Shares purchased within the 401(a) Incentive Savings Plan.
- (4) Shares allocated to the individual's account pursuant to the New York Community Bank Employee Stock Ownership Plan ("ESOP").
- (5) Shares held in trust under the New York Community Bank Supplemental Benefits Plan ("SERP").
- (6) Options granted under the New York Community Bancorp, Inc. ("NYCB") 1997 Stock Option Plan that vest in three equal annual installments beginning on December 21, 2002.
- (7) Options automatically granted pursuant to the reload feature of the NYCB 1997 Stock Option Plan that were exercisable beginning on July 24, 2002.
- (8) Options granted under the NYCB 1997 Stock Option Plan that vest in three equal annual installments beginning on July 24, 2003.
- (9) Options granted under the NYCB 1997 Stock Option Plan that vest in three equal annual installments beginning on January 21, 2004.

/s/ Ilene A. Angarola

February 14, 2003

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\*\*Signature of Reporting Person

-----  
Date

By: Ilene A. Angarola, Power of Attorney  
For: Russ DiBenedetto

\*\* Intentional misstatements or omissions of facts constitute  
Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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