#### **EMERSON ELECTRIC CO**

Form 4

March 22, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Train Michael H.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle) EMERSON ELECTRIC CO [EMR]

(Check all applicable)

Exec. Pres. Auto. Sols.

C/O EMERSON ELECTRIC

3. Date of Earliest Transaction (Month/Day/Year)

03/20/2017

Director \_X\_\_ Officer (give title below)

10% Owner Other (specify

CO, 8000 W. FLORISSANT **AVENUE** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ST. LOUIS, MO 63136

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) f Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	03/20/2017		M <u>(1)</u>	10,000 (1)	A	\$ 53.835	128,143	D	
Common Stock	03/20/2017		F(2)	8,930 (2)	D	\$ 60.275	119,213	D	
Common Stock	03/20/2017		F(3)	179 (3)	D	\$ 60.275	119,034	D	
Common Stock							555.137	I	Profit Sharing Plan
							9,417.264	I	

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Common Stock			401(k) Plan
Common Stock	411.576	I	401(k) excess plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securi Acquir or Dis (D) (Instr.	5. Number of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (Right to Buy)	\$ 53.835	03/20/2017		M <u>(1)</u>		10,000 (1)	10/01/2008(4)	10/01/2017	Common Stock	10,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Troporting of the France of Transcoor	Director	10% Owner	Officer	Other			
Train Michael H.							
C/O EMERSON ELECTRIC CO			Erras Dros Auto Cala				
8000 W FLORISSANT AVENUE			Exec. Pres. Auto. Sols.				

## **Signatures**

ST. LOUIS, MO 63136

/s/ John G. Shively, Attorney-in-Fact for Michael H.
Train

03/22/2017

\*\*Signature of Reporting Person Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of 4,429 non-qualified stock options and 5,571 incentive stock options exempt under Rule 16b-3.
- (2) Payment of option exercise price by delivering securities.
- (3) Shares withheld for taxes exempt under Rule 16b-3 resulting from nonqualified stock option exercise.
- (4) The options vested in three equal annual installments beginning on the date indicated.
- (5) Price is not applicable to stock options received as incentive compensation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.