DHI GROUP, INC.

Form 4

January 05, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Bennett James Edward

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) DHI GROUP, INC. [DHX]

(Check all applicable)

C/O DHI GROUP, INC., 1040 AVENUE OF THE AMERICAS,

8TH FLOOR

(City)

3. Date of Earliest Transaction

(Month/Day/Year) 01/03/2017

Director 10% Owner X\_ Officer (give title Other (specify below)

MD, Data Services

(Street)

(State)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10018

Table I - Non-Derivative Securities Acquired	l, Disposed of, or Beneficially Owned
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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)			(D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	01/03/2017		M	6,900	A	\$ 6.08	136,295	D	
Common Stock	01/03/2017		S <u>(1)</u>	6,900	D	\$ 6.2144 (2)	129,395	D	
Common Stock	01/04/2017		M	13,712	A	\$ 6.08	143,107	D	
Common Stock	01/04/2017		S <u>(1)</u>	13,712	D	\$ 6.3466 (3)	129,395	D	

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Common Stock	01/05/2017	M	7,800	A	\$ 6.08	137,195	D
Common Stock	01/05/2017	S <u>(1)</u>	7,800	D	\$ 6.2333 (4)	129,395	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 6.08	01/03/2017		M		6,900	(5)	02/10/2017	Common Stock	6,900
Employee Stock Option (Right to Buy)	\$ 6.08	01/04/2017		M		13,712	(5)	02/10/2017	Common Stock	13,712
Employee Stock Option (Right to Buy)	\$ 6.08	01/05/2017		M		7,800	<u>(5)</u>	02/10/2017	Common Stock	7,800

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				

Reporting Owners 2

Bennett James Edward C/O DHI GROUP, INC. 1040 AVENUE OF THE AMERICAS, 8TH FLOOR NEW YORK, NY 10018 MD, Data Services

### **Signatures**

/s/ James
Bennett
01/05/2017

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.
- The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions ranged from \$6.20 to \$6.35. Upon request of the staff of the Securities and Exchange Commission, DHI Group, Inc. (the "Company") or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold at each separate price.
- The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions (3) ranged from \$6.20 to \$6.50. Upon request of the Securities and Exchange Commission, the Company or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold at each separate price.
- The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions (4) ranged from \$6.20 to \$6.45. Upon request of the Securities and Exchange Commission, the Company or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold at each separate price.
- (5) This grant of employee stock options has fully vested and is currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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