SUPERVALU INC

Form 4 May 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Expires:

January 31, 2005

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response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Robertson Karla C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

SUPERVALU INC [SVU]

(Check all applicable)

(Last)

(First)

(Street)

3. Date of Earliest Transaction

Director

10% Owner Other (specify

11840 VALLEY VIEW ROAD

(Month/Day/Year) 05/16/2016

X_ Officer (give title

below)

EVP General Counsel

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

EDEN PRAIRIE, MN 55344 (City) (State)

	Tuble 1 Ton Berryalive Securities required, Disposed of, or Benericany Symen									
2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of		
(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or			or	Securities	Form: Direct	Indirect		
	any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial		
	(Month/Day/Year)	(Instr. 8)	(Instr. 3,	Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D)		Owned	Indirect (I)	Ownership		
	•				Following	(Instr. 4)	(Instr. 4)			
			(4)		Reported					
						Transaction(s)				
		C 1 W			ъ.	(Instr. 3 and 4)				
		Code V	Amount	(D)	Price					
05/16/2016		M <u>(1)</u>	4,950	A	\$0	37,783	D			
05/16/2016		F	1,664	D	\$0	36,119	D			
	(Month/Day/Year) 05/16/2016	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8) Code V 05/16/2016 M(1)	2. Transaction Date (Month/Day/Year) (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code Disposed (Instr. 8) Code V Amount M(1) 4,950	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) 2. Transaction Date (A) (Code (Instr. 8)) (Instr. 3, 4 and (A) or (Code (V) Amount (D)) (Month/Day/Year) (A) or (Code (V) Amount (D)) (D5/16/2016) (Month/Day/Year)	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Code V Amount (D) Price (Month/Day/Year) (Month/Day/Year) (A)	2. Transaction Date (Month/Day/Year) 2A. Deemed (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A)	2. Transaction Date (Month/Day/Year) 2A. Deemed 3. 4. Securities 5. Amount of Securities Securities		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (2)	05/16/2016		M	4,950	(2)	(2)	Common Stock	4,950	\$

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Robertson Karla C 11840 VALLEY VIEW ROAD EDEN PRAIRIE, MN 55344

EVP General Counsel

Signatures

By: Stuart McFarland, Attorney in Fact For: Karla

Robertson 05/17/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of SUPERVALU common stock acquired upon the vesting and conversion of an equal number of restricted stock units.
- (2) The restricted stock units will vest and be converted into shares of SUPERVALU common stock on a 1 for 1 basis as to 34%, 33% and 33% of the units on the first three anniversaries of the May 16, 2014 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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