Edgar Filing: CAPITAL SOUTHWEST CORP - Form 4

CAPITAL S Form 4 February 14 FORN Check th if no lon subject to Section Form 4 Form 5 obligation may con <i>See</i> Insti- 1(b).	A 4 UNITED his box his box his box box to 16. or Filed pur Section 17(STATES SEC IENT OF CH suant to Section a) of the Publi	Washington ANGES IN SECU n 16(a) of t	n, D.C. 20 N BENEF RITIES he Securi Ilding Cor)549 ICIA ties E npan	LOWN Exchange y Act of	Act of 1934, 1935 or Sectior	OMB Number: Expires: Estimated a burden hou response		
(Print or Type	Responses)									
THOMAS WILLIAM R Symbols CAP							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			th/Day/Year)	n/Day/Year)				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO		
			(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	fable I - Non-	-Derivative	Secu		ired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	ioror Dispos (Instr. 3, -	ed of	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/12/2007		P	1,000	A A	\$ 130.13	334,975	D		
Common Stock	02/13/2007		Р	2,000	А	\$ 130.13	336,975	D		
Common Stock	07/17/2006		J	37,974 (1)	D	\$ 0	37,974 <u>(1)</u>	Ι	See Footnote (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D)						(Instr
				(Instr. 3, 4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
THOMAS WILLIAM R 12900 PRESTON ROAD SUITE 700 DALLAS, TX 75230	Х	Х	President & CEO				
Signatures							

/s/ William R.	
Thomas	02/14/2007
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Previously, the reporting person had voting and investment control over 75,948 shares held by members of his immediate family. On
- (1) 7/17/06, he relinquished voting and invest power on 37,974 shares owned by his son, thereby reducing the previously reported 75,948 shares to 37,974 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.