GETZ JAMES F Form 4 February 26, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Adda GETZ JAMES	*	ng Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
a a a a a a a a a a a a a a a a a a a			TriState Capital Holdings, Inc. [TSC]	(Check all applicable)		
ONE OXFORI			3. Date of Earliest Transaction (Month/Day/Year) 02/25/2019	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) CHAIRMAN, PRESIDENT AND CEO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)  X Form filed by One Reporting Person		
PITTSBURGH	I, PA 15219			Form filed by More than One Reporting Person		

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(City)	(State)	(Zip) Tal	ole I - Non	-Derivativ	e Sec	urities Acqu	uired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/25/2019		S(1)	6,250	D	22.7032 (2)	555,460	D (3)		
Common Stock	02/26/2019		S(1)	6,250	D	\$ 22.4537 (4)	549,210	D (3)		
Common Stock							287,173	I	By Getz Enterprises, L.P. (5)	
Common Stock							140,618	I	By Stephens Inc. FBO	

James F. Getz Individual Retirement Account (6)

Common Stock

368,944

 $D_{\frac{(7)}{2}}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. conNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9 1 1 1 1 1 1 1
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
GETZ JAMES F ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219	X		CHAIRMAN, PRESIDENT AND CEO					

## **Signatures**

/s/ Karla Villatoro de Friedman, General Counsel of TriState Capital Bank, Attorney-in-Fact

02/26/2019

\*\*Signature of Reporting Person

Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were sold by the Reporting Person to satisfy tax obligations resulting from the vesting of restricted stock which occurred on (1) January 14, 2019, which restricted stock was previously granted to the Reporting Person pursuant to the Company's 2014 Omnibus Incentive Plan.
- The price represents the approximate weighted average price per share of sales of the Issuer's common stock, which were executed at prices ranging from \$22.70 to \$22.90 per share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price.
- (3) Shares held jointly by the Reporting Person and spouse.
  - The price represents the approximate weighted average price per share of sales of the Issuer's common stock, which were executed at prices ranging from \$22.37 to \$22.60 per share. The Reporting Person undertakes to provide, upon request by the Securities and
- Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price.
- (5) The Reporting Person is the general partner of this entity.
- (6) The Reporting Person is the beneficiary of this account.
- (7) Shares owned by the Reporting Person individually.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.