### Edgar Filing: O'Brien Shelly - Form 4

O'Brien She Form 4 May 23, 201 <b>FORM</b> Check th if no lon subject to Section 2 Form 4 Form 5 obligation may con <i>See</i> Instr 1(b).	18 <b>A 4 UNITED</b> uis box ger o STATEM 16. or Filed pur Section 17(	<b>IENT OF</b> resuant to Set a) of the P	Was CHAN ection 10 ublic Ut	Shington, GES IN I SECUR 6(a) of the	D.C. 20 BENEF ITIES e Securit ling Con	549 ICIA ies E ipany	L OWN xchange Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type	Responses)										
O'Brien Shelly Syn			2. Issuer Name <b>and</b> Ticker or Trading Symbol ENVESTNET, INC. [ENV]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Che					(Chec	ck all applicable)		
				10nth/Day/Year) 5/21/2018				Director 10% Owner X Officer (give title Other (specify below) below) Chief Legal Officer			
Filed(Mon			nendment, Date Original fonth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
CHICAGO, IL 60601 Form filed by More than One Reporting Person								porting			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
G				Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	05/21/2018			M <u>(1)</u>	3,333	А	\$ 12.55	42,945	D		
Common Stock	05/21/2018			S <u>(1)</u>	3,333	D	\$ 55.8	39,612	D		
Common Stock	05/21/2018			M <u>(1)</u>	667	A	\$ 12.45	40,279	D		
Common Stock	05/21/2018			S <u>(1)</u>	667	D	\$ 55.8	39,612	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		actionof Derivative Expiration Date Securities (Month/Day/Year) . 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,			7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Employee Stock Option (Right to Buy)	\$ 12.55	05/21/2018		M <u>(1)</u>		3,333	02/28/2011 <u>(2)</u>	02/28/2021	Common Stock	3,333
Employee Stock Option (Right to Buy)	\$ 12.45	05/21/2018		M <u>(1)</u>		667	02/29/2012 <u>(2)</u>	02/28/2022	Common Stock	667

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
O'Brien Shelly 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601			Chief Legal Officer				
Signatures							

Reporting Person

/s/ Shelly	05/23/2018			
O'Brien	03/23/2018			
<u>**</u> Signature of	Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the cashless exercise of stock options which were granted pursuant to Rule 16b-3. The sale was pursuant to a Rule 10b5-1 plan that covers the cashless exercise and sale of stock options prior to their expiration date.
- (2) Original option grant vested in three installments beginning on the first anniversary of the date of grant as listed in the "Date Exercisable" column.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.