

TAPLIN DAVID F
Form 4
December 07, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAPLIN DAVID F

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)
Dir. and Member of a Group

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Class A Common Stock	12/05/2011		S		97	D \$ 81.75	58,903 I Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011		S		211	D \$ 81.7	58,692 I Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011		S		500	D \$ 81.51	58,192 I Trust (MET) ⁽¹⁾
Class A	12/05/2011		S		100	D \$	58,092 I Trust

Edgar Filing: TAPLIN DAVID F - Form 4

Common Stock					81.47			(MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	100	D	\$ 81.5	57,992	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	10	D	\$ 81.43	57,982	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	90	D	\$ 81.22	57,892	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	700	D	\$ 81.23	57,192	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	210	D	\$ 81.1	56,982	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	100	D	\$ 81.18	56,882	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	100	D	\$ 81.17	56,782	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	100	D	\$ 81.16	56,682	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	1,482	D	\$ 81	55,200	I	Trust (MET) ⁽¹⁾
Class A Common Stock	12/05/2011	S	200	D	\$ 81.13	55,000	I	Trust (MET) ⁽¹⁾
Class A Common Stock						14,051	D	
Class A Common Stock						100	I	Trust2 ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: TAPLIN DAVID F - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TAPLIN DAVID F
NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 300
MAYFIELD HEIGHTS, OH 44124

X

Dir. and Member of a Group

Signatures

/s/Suzanne S. Taylor,
attorney-in-fact

12/07/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as co-trustee with his step-sister, of a revocable trust held for the benefit of Reporting Person's step-mother. Reporting Person disclaims beneficial ownership of all such shares
- (2) Shares held in a trust. Ngaio T. Lowry Trust U/A Dtd 08/26/1998 FBO David F. Taplin.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.