

Niederauer Duncan L
Form 4
February 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Niederauer Duncan L

(Last) (First) (Middle)

C/O NYSE EURONEXT, 11 WALL
STREET

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
NYSE Euronext [NYX]

3. Date of Earliest Transaction
(Month/Day/Year)
02/08/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	02/08/2013		C(1)	23,450 A \$ 0	302,059	D	
Common Stock, par value \$0.01 per share	02/10/2013		C(1)	29,951 A \$ 0	323,361	D	
Common Stock, par value \$0.01 per share	02/10/2013		C(1)	63,425 A \$ 0	386,786	D	

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Common Stock, par value \$0.01 per share	02/11/2013	<u>C</u> ⁽¹⁾	31,675	A	\$ 0	354,583	D
Common Stock, par value \$0.01 per share	02/08/2013	F	8,649	D	\$ 36.14 <u>(2)</u>	293,410	D
Common Stock, par value \$0.01 per share	02/10/2013	F	47,543	D	\$ 36.24 <u>(2)</u>	339,243	D
Common Stock, par value \$0.01 per share	02/11/2013	F	16,335	D	\$ 36.24 <u>(2)</u>	322,908	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(3)	02/08/2013		C		23,450		(3)	(3)	Common Stock, par value \$0.01 per share	23,450
Restricted Stock Units	(4)	02/10/2013		C		29,951		(4)	(4)	Common Stock, par value \$0.01 per share	29,951
	(5)	02/10/2013		C		63,425		(5)	(5)		63,425

Restricted Stock Units								Common Stock, par value \$0.01 per share
Restricted Stock Units	(6)	02/11/2013	C	31,675	(6)	(6)		Common Stock, par value \$0.01 per share 31,675

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Niederauer Duncan L C/O NYSE EURONEXT 11 WALL STREET NEW YORK, NY 10005	X		Chief Executive Officer	

Signatures

/s/ Janet L. McGinness under POA dated March 19,
2007

02/12/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the settlement of Restricted Stock Units.

(2) Represents the closing price of the Common Stock on the trading day immediately before the date of delivery of the securities.

(3) Each restricted stock unit ("RSU") represents the right to receive one share of the Issuer's common stock. RSUs vest and are settled in three equal installments on each of February 8, 2012, 2013 and 2014, subject to the terms of the Issuer's Omnibus Incentive Plan and the applicable award agreement issued thereunder. The amount of the award was determined in part by reference to the closing price of the Issuer's common stock on February 7, 2013.

(4) Each restricted stock unit ("RSU") represents the right to receive one share of the Issuer's common stock. RSUs vest and are settled in three equal installments on each of February 10, 2011, 2012 and 2013, subject to the terms of the Issuer's Omnibus Incentive Plan and the applicable award agreement issued thereunder. The amount of the award was determined in part by reference to the closing price of the Issuer's common stock on February 8, 2013.

(5) Each restricted stock unit ("RSU") represents the right to receive one share of the Issuer's common stock. RSUs vest and are settled on each of February 10, 2013, subject to the terms of the Issuer's Omnibus Incentive Plan and the applicable award agreement issued thereunder. The amount of the award was determined in part by reference to the closing price of the Issuer's common stock on February 8, 2013.

(6) Each restricted stock unit ("RSU") represents the right to receive one share of the Issuer's common stock. RSUs vest and are settled in three equal installments on each of February 11, 2013, 2014 and 2015, subject to the terms of the Issuer's Omnibus Incentive Plan and the applicable award agreement issued thereunder. The amount of the award was determined in part by reference to the closing price of the Issuer's common stock on February 8, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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