BlackRock Inc. Form 4 November 05, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16

Section 16

Washington, D.C. 20349

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person <u>\*</u> FINK LAURENCE

(First) (Middle)

BLACKROCK, INC., 55 EAST

52ND STREET

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

BlackRock Inc. [BLK]

3. Date of Earliest Transaction (Month/Day/Year)

11/03/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

of

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

\_X\_ Director \_\_\_\_ 10% Owner \_X\_ Officer (give title \_\_\_\_ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

NEW YORK, NY 10055

(City)	(State)	(Zip) Tak	ole I - Non-	Derivativ	e Sec	urities Acquii	red, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015		S	415	D	\$ 353.7499 (1)	1,246,515.28 (2)	D	
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015		S	2,083	D	\$ 354.6988 ( <u>3)</u>	1,244,432.28	D	

Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015	S	1,643	D	\$ 355.9766 (4)	1,242,789.28	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015	S	5,042	D	\$ 356.9801 (5)	1,237,747.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015	S	7,545	D	\$ 358.0021 (6)	1,230,202.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015	S	4,972	D	\$ 358.8731 (7)	1,225,230.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/03/2015	S	2,300	D	\$ 359.7887 (8)	1,222,930.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/04/2015	S	1,527	D	\$ 356.1834	1,221,403.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/04/2015	S	5,562	D	\$ 356.8871 (10)	1,215,841.28	D
Shares Of Common Stock (par Value \$0.01 Per	11/04/2015	S	7,375	D	\$ 357.9851 (11)	1,208,466.28 (2)	D

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Share)							
Shares Of Common Stock (par Value \$0.01 Per Share)	11/04/2015	S	925	D	\$ 358.8826 (12)	1,207,541.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/04/2015	S	200	D	\$ 360.095 (13)	1,207,341.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/04/2015	S	100	D	\$ 360.84	1,207,241.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/05/2015	S	700	D	\$ 355.88 (14)	1,206,541.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/05/2015	S	1,506	D	\$ 357.1609 (15)	1,205,035.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/05/2015	S	705	D	\$ 357.8016 (16)	1,204,330.28 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	11/05/2015	S	200	D	\$ 358.68 (17)	1,204,130.28 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	T:41-	or Name land		
						Exercisable	Date	Title	Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
FINK LAURENCE BLACKROCK, INC. 55 EAST 52ND STREET NEW YORK, NY 10055	X		Chairman and CEO					

#### **Signatures**

/s/ Daniel R. Waltcher as Attorney-in-Fact for Laurence Fink 11/05/2015

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$353.19 to \$354.10. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
  - Includes (i) 10,962 Restricted Stock Units vesting on 1/31/16, (ii) 18,596 Restricted Stock Units vesting in installments on 1/31/16 and 1/31/17, (iii) 11,618 shares of Restricted Stock vesting in installments on 1/31/16, 1/31/17 and 1/31/18, (iv) 32,766 Restricted Stock Units awarded on 1/20/12 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/16 and will expire on 1/31/18 to the extent not then vested, (v) 37,472 Restricted Stock
- (2) Units awarded on 1/18/13 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/17 and will expire on 1/31/19 to the extent not then vested and (vi) 24,322 Restricted Stock Units awarded on 1/17/14 that will vest in whole or in part only on the satisfaction of one or more previously-disclosed Common Stock price targets, but which may not vest before 1/31/18 and will expire on 1/31/20 to the extent not then vested. Each Restricted Stock Unit is payable solely by delivery of an equal number of shares of Common Stock.

Reporting Owners 4

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- This transaction was executed in multiple trades at prices ranging from \$354.25 to \$355.04. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$355.39 to \$356.36. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$356.46 to \$357.43. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$357.48 to \$358.48. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$358.49 to \$359.49. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$359.50 to \$359.99. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$355.54 to \$356.54. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$356.56 to \$357.48. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$357.57 to \$358.57. The price reported represents the weighted (11) average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$358.73 to \$359.03. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$359.80 to \$360.39. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$355.45 to \$356.37. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$356.53 to \$357.53. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$357.61 to \$357.95. The price reported represents the weighted (16) average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$358.65 to \$358.71. The price reported represents the weighted average purchase price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.