

RLI CORP  
Form 3  
November 02, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p><b>Â FICK JEFFREY D</b></p> <p>(Last) (First) (Middle)</p> <p><b>9025 N. LINDBERGH DRIVE</b></p> <p>(Street)</p> <p><b>PEORIA, Â IL Â 61615</b></p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p><b>10/28/2016</b></p>	<p>3. Issuer Name <b>and</b> Ticker or Trading Symbol</p> <p><b>RLI CORP [RLI]</b></p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p><b>SVP, Chief Legal Officer</b></p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	43,487.574	D <u>(1)</u>	Â
Common Stock	9,473.5115	I	Employee Stock Ownership Plan <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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## Edgar Filing: RLI CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	05/03/2013 <sup>(3)</sup>	05/03/2020	Common Stock	3,600	\$ 25.35 <sup>(4)</sup>	D	Â
Stock Option	05/02/2014 <sup>(3)</sup>	05/02/2021	Common Stock	7,200	\$ 29.42 <sup>(4)</sup>	D	Â
Stock Option	05/01/2015 <sup>(3)</sup>	05/01/2022	Common Stock	8,100	\$ 38.21 <sup>(5)</sup>	D	Â
Stock Option	05/07/2016 <sup>(3)</sup>	05/07/2023	Common Stock	13,500	\$ 47.61 <sup>(5)</sup>	D	Â
Stock Option	05/05/2017 <sup>(3)</sup>	05/05/2024	Common Stock	10,000	\$ 64.09	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FICK JEFFREY D 9025 N. LINDBERGH DRIVE PEORIA, IL 61615	Â	Â	Â SVP, Chief Legal Officer	Â

## Signatures

/s/ Jeffrey D. Fick                      11/02/2016

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Ownership reflects dividend reinvestment
- (2) Balance reflects annual company contributions and dividend reinvestment.
- (3) Pursuant to option schedule wherein 20% of the aggregate number of shares granted may be exercised commencing one year from grant date and each year thereafter in 20% increments.
- (4) Exercise price reflects prior adjustments as a result of special dividends and a stock split.
- (5) Exercise price reflects prior adjustments as a result of special dividends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.