POPS RICHARD F

Form 4

February 21, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005 Estimated average

0.5

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* POPS RICHARD F

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

Alkermes plc. [ALKS]

(Check all applicable)

CONNAUGHT HOUSE, 1

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_ Director X\_ Officer (give title below)

10% Owner \_ Other (specify

**BURLINGTON ROAD** 

(Street)

(Ctata)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Director and CEO, Alkermes plc

Filed(Month/Day/Year)

02/16/2018

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**DUBLIN 4 IRELAND** 

(City)	(State) (	Table Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D)			•	5. Amount of Securities 6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3,	4 and	5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership
		(Mondin Day Tear)	(msu. o)		(A)		Following Reported	(Instr. 4)	(Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Ordinary Shares	02/17/2018		M	8,750	A	\$0	646,436	D	
Ordinary Shares	02/17/2018		F	2,581	D	\$ 67.26	643,855	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: POPS RICHARD F - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying So (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Unit Award	\$ 0	02/16/2018		A	72,500		02/16/2019(1)	<u>(1)</u>	Ordinary Shares
Employee Stock Option (Right to Buy)	\$ 67.26	02/16/2018		A	295,000		02/16/2019(2)	02/16/2028	Ordinary Shares
Restricted Stock Unit Award	\$ 0	02/17/2018		M		8,750	02/17/2018(3)	(3)	Ordinary Shares

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
POPS RICHARD F							
CONNAUGHT HOUSE	X		Director and CEO Allrammas nla				
1 BURLINGTON ROAD	Λ		Director and CEO, Alkermes plc				
DUBLIN 4 IRELAND							

### **Signatures**

/s/ Jennifer Baptiste, attorney-in-fact for Richard F.
Pops

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/16/19.
- (2) Shares subject to the stock option award vest in four equal annual installments commencing on 2/16/2019.
- (3) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/17/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2