

Knox Kathleen A.
Form 3
February 08, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Knox Kathleen A.

(Last) (First) (Middle)

100 N TRYON STREET

(Street)

CHARLOTTE, ^ NC ^ 28255

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/30/2019

3. Issuer Name and Ticker or Trading Symbol

BANK OF AMERICA CORP /DE/ [BAC]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other

(give title below) (specify below)

President, U.S. Trust

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting
Person

___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

56,848

D

^

Common Stock

3,523.0623

I

401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
2016 Restricted Stock Units	Â <u>(1)</u>	02/15/2019	Common Stock	20,503	\$ <u>(2)</u>	D	Â
2017 Restricted Stock Units	Â <u>(3)</u>	02/15/2020	Common Stock	26,836	\$ <u>(2)</u>	D	Â
2018 Restricted Stock Units	Â <u>(4)</u>	02/15/2021	Common Stock	47,398	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	01/25/2023	Common Stock	150,000	\$ <u>(2)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Knox Kathleen A. 100 N TRYON STREET CHARLOTTE, NC 28255	Â	Â	Â President, U.S. Trust	Â

Signatures

Kathleen A. Knox/Amanda D.
Daniel POA

02/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2017.

(2) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.

(3) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2018.

(4) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2019.

(5) The reporting person was previously granted units, vesting in two equal annual installments commencing on January 25, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.