### ROCKWELL COLLINS INC

Form 4 April 02, 2003

See Instruction 1(b).

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

**OMB APPROVAL** 

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Common Stock	04/01/03		<b>A</b> (1)		335	A	\$18.68	3	5,523	D			
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)		(Instr. 4)	(Instr. 4)		
` ′	Date (Month/ Day/	Date, if any	(Instr. 8)		(Instr. 3, 4 & 5)			Owned Follow-		or Indirect (I)			
	3		action Code		or Disposed of (D)			Securities Beneficially			Indirect Beneficial		
1. Title of	2. Trans-	2A. Deemed	3. Trans			_		5. Amount of			7. Nature of		
(City)	Table I Non-Derivative Securities Acquired							Disposed of, or Beneficially Owned					
NORTHBROO						5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Last) (First) (Middle)  1436 RIDGE ROAD				rting	ntification l Person, voluntary)	Numbe	Mor	4. Statement for Month/Day/Year <b>04/01/03</b>		X Director  10% Owner  Officer (give title below)  Other (specify below)			
Name and Address of Reporting Person* FERRIS, RICHARD J.					me and Tic LL COLLI				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially **FORM 4 (continued)** Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	(Medonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Securitie	<b>X</b> ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acquire	d			Following	ative	
		-		8)	(A) or				Reported	Security:	
		Year)	Year)		Dispose	d			Transaction(s)	Direct	

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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				of (I	D)					(Instr. 4)	(D)	
											or	ĺ
			١	(Inst	tr.						Indirect	ĺ
				3, 4	&						(I)	ĺ
			5)							(Instr. 4)	ĺ	
		Code	V	(A)	(D)	Date	Expira-	Title	Amount			ĺ
						Exer-cisable	tion		or			ĺ
							Date		Number			ĺ
									of			ĺ
									Shares		ı	ĺ

Explanation of Responses:

(1) Restricted shares delivered as compensation for services as Director. Held by issuer to implement restrictions on transfer unless and until certain conditions are met.

By: /s/ Gary R. Chadick Gary R. Chadick, Attorney-in-Fact 04/02/2003 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).