JONES CLAYTON M

Form 4 July 23, 2010

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

January 31,

Expires:

2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * JONES CLAYTON M			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			ROCKWELL COLLINS INC [COL] (Check all appli				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	X Director 10% Owner			
M/S 124-323, 400 COLLINS ROAD NE		LINS ROAD	07/21/2010	_X_ Officer (give title Other (specify below)			
				Chairman, President and CEO			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
CEDAR RAPIDS, IA 52498-0001				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Form: Direct Code Beneficially Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common $S_{\underline{(1)}}$ 07/21/2010 100 D 81,442 D 54.04 Stock Common $S_{\underline{(1)}}$ 07/21/2010 400 D 81,042 D Stock Common $S^{(1)}$ 300 D 07/21/2010 D 80,742 Stock Common $S^{(1)}$ 07/21/2010 600 80,142 D Stock Common 07/21/2010 $S^{(1)}$ 100 D 80,042 D Stock

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Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.98	79,842	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.96	79,642	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.95	79,442	D	
Common Stock	07/21/2010	S <u>(1)</u>	100	D	\$ 53.94	79,342	D	
Common Stock	07/21/2010	S <u>(1)</u>	100	D	\$ 53.93	79,242	D	
Common Stock	07/21/2010	S <u>(1)</u>	300	D	\$ 53.92	78,942	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.9	78,742	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.89	78,542	D	
Common Stock	07/21/2010	S <u>(1)</u>	100	D	\$ 53.88	78,442	D	
Common Stock	07/21/2010	S <u>(1)</u>	100	D	\$ 53.87	78,342	D	
Common Stock	07/21/2010	S <u>(1)</u>	400	D	\$ 53.86	77,942	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.85	77,742	D	
Common Stock	07/21/2010	S <u>(1)</u>	400	D	\$ 53.84	77,342	D	
Common Stock	07/21/2010	S <u>(1)</u>	200	D	\$ 53.83	77,142	D	
Common Stock	07/21/2010	S <u>(1)</u>	100	D	\$ 53.81	77,042	D	
Common Stock						21,950 (2)	I	By GRATs
Common Stock						13,525.8104 (3)	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivativ	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date		Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date Exercisable	Expiration Date		or Number		
									of		
				Code V	(A) (D)				Shares		
				Code v	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

X

JONES CLAYTON M M/S 124-323 400 COLLINS ROAD NE

**CEDAR RAPIDS, IA 52498-0001** 

Chairman, President and CEO

### **Signatures**

Vaughn M. Klopfenstein, Attorney-in-Fact

07/23/2010

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan signed by the reporting person on November 10, **(1)**
- Indirectly owned by reporting person who serves as trustee of grantor retained annuity trusts (GRATs). The GRATs hold shares originally contributed by the reporting person.
- Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired **(3)** on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of July 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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