

ROCKWELL COLLINS INC
Form 4
August 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BEALL DONALD R

(Last) (First) (Middle)

5 SAN JOAQUIN PLAZA, SUITE 320

(Street)

NEWPORT BEACH, CA 92660

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction (Month/Day/Year)

08/02/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				Code	V	Amount				(A) or (D)	Price
Common Stock	08/02/2010		M			25,000	A	\$ 22.35	193,901 ⁽¹⁾	I	Trustee - Family Trust
Common Stock	08/02/2010		S			100	D	\$ 58.3	193,801 ⁽¹⁾	I	Trustee - Family Trust
Common Stock	08/02/2010		S			200	D	\$ 58.31	193,601 ⁽¹⁾	I	Trustee - Family Trust
Common Stock	08/02/2010		S			400	D	\$ 58.32	193,201 ⁽¹⁾	I	Trustee - Family

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									Trust
Common Stock	08/02/2010	S	1,100	D	\$ 58.33	192,101 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,500	D	\$ 58.34	190,601 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,100	D	\$ 58.35	189,501 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,700	D	\$ 58.36	187,801 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	100	D	\$ 58.37	187,701 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	400	D	\$ 58.38	187,301 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,000	D	\$ 58.39	186,301 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	2,800	D	\$ 58.4	183,501 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	2,000	D	\$ 58.41	181,501 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	3,500	D	\$ 58.42	178,001 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	2,700	D	\$ 58.43	175,301 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,700	D	\$ 58.44	173,601 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	1,600	D	\$ 58.45	172,001 <u>(1)</u>	I		Trustee - Family Trust
Common Stock	08/02/2010	S	600	D	\$ 58.46	171,401 <u>(1)</u>	I		Trustee - Family Trust

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Common Stock	08/02/2010		S	400	D	\$ 58.47	171,001 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/02/2010		S	1,400	D	\$ 58.48	169,601 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/02/2010		S	700	D	\$ 58.49	168,901 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		M	25,000	A	\$ 22.35	193,901 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	200	D	\$ 57.97	193,701 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	400	D	\$ 57.98	193,301 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	2,100	D	\$ 57.99	191,201 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	1,800	D	\$ 58	189,401 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	1,800	D	\$ 58.01	187,601 <u>(1)</u>	I	Trustee - Family Trust
Common Stock	08/03/2010		S	1,000	D	\$ 58.02	186,601 <u>(1)</u>	I	Trustee - Family Trust
Common Stock							17,567.36 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D S (1)
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Derivative Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) ⁽³⁾	\$ 22.35	08/02/2010	M		25,000		<u>(4)</u>	07/05/2011	Common Stock	25,000
Stock Option (Right to Buy) ⁽³⁾	\$ 22.35	08/03/2010	M		25,000		<u>(4)</u>	07/05/2011	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEALL DONALD R 5 SAN JOAQUIN PLAZA, SUITE 320 NEWPORT BEACH, CA 92660	X			

Signatures

/s/ Gary R. Chadick,
Attorney-in-Fact

08/04/2010

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 21,381 of these shares are restricted shares and were delivered as compensation for services as Director. The restricted shares are held by issuer to implement restrictions on transfer unless and until certain conditions are met.
- (2) Restricted stock units awarded as compensation for services as Director. Shares of common stock underlying restricted stock units will be issued promptly after departure from the Board of Directors. Includes dividend equivalents issued quarterly on the restricted stock units.
- (3) Stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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