Edgar Filing: STENSKE DOUGLAS E - Form 4

STENSKE D	OUGLAS E										
Form 4											
November 16	5, 2011										
FORM	4					~~~	NOLO		OMB AF	PROVAL	
	UNITEL) STATES		shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check thi if no long	or								Expires:	January 31, 2005	
subject to STATEMENT O Section 16. Form 4 or				SECUR	ITIES				Estimated a burden hou response	iverage	
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17	(a) of the	Public Ut		ling Con	npan	y Act of	e Act of 1934, 71935 or Section 0	1		
(Print or Type R	Responses)										
			2. Issuer Name and Ticker or Trading Symbol ROCKWELL COLLINS INC [COL]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Chec				ck all applicable)				
(Mont				onth/Day/Year) /14/2011				Director 10% Owner XOfficer (give title Other (specify below) below) VP & Treasurer			
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CEDAR RA	PIDS, IA 5249	8-0001						Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	11/14/2011			А	1,780 (1)	А	\$0	4,674.594 <u>(2)</u>	D		
Common Stock	11/14/2011			F	578	D	\$ 55.01	4,096.594 <u>(2)</u>	D		
Common Stock								107.0716 <u>(3)</u>	I	By Savings Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form (9-02)

Edgar Filing: STENSKE DOUGLAS E - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities8(Instr. 3 and 4)5(Instr. 5 and 4)6	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) (4)	\$ 55.01	11/14/2011		А	5,400	(5)	11/14/2021	Common Stock	5,400

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STENSKE DOUGLAS E							
M/S 124-318			VP & Treasurer				
400 COLLINS ROAD NE							
CEDAR RAPIDS, IA 52498-0001							
Signatures							
Gary R. Chadick,	11/1	6/2011					

Attorney-in-Fact

**Signature of Reporting Person

11/16/2011

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Vesting of performance shares granted under the Company's 2006 Long-Term Incentives Plan ("LTIP"). (1)
- Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan (2)Administrator as of November 4, 2011.
- Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic (3) basis pursuant to the Plan, based on information furnished by the Plan Administrator as of October 1, 2011.
- Employee stock options granted pursuant to the LTIP. (4)

Edgar Filing: STENSKE DOUGLAS E - Form 4

(5) The options vest in three substantially equal annual installments beginning 11/14/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.