## Edgar Filing: SHAVERS CHERYL L - Form 4

| SHAVERS C<br>Form 4  | CHERYL L   |   |   |  |   |  |  |   |  |           |  |
|--|--|---|---|--|---|--|--|---|--|-----------|--|
| September 2'   |  | RITIES A  |   | OMB APPROVAL                           |   |  |  |   |  |           |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | 6.<br>r<br>Filed p<br>ns<br>inue.  | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |   |  |  |   | Number:<br>Expires:<br>Estimated a<br>burden hou<br>response |           |  |
| (Print or Type F<br>1. Name and A  | Responses)<br>.ddress of Reportir  | ng Person <u>*</u>  | 2. Issuer   | Name and                               | Ticker or   | Tradi  | ng   | 5. Relationship of  | Reporting Pers   | son(s) to |  |
| SHAVERS CHERYL L   |  |   | Symbol<br>ROCKWELL COLLINS INC [COL]                              |  |   |  |  | Issuer<br>(Check all applicable)  |  |           |  |
| (Last) (First) (Middle)<br>400 COLLINS ROAD NE, M/S<br>124-323   |  |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>09/25/2012 |  |   |  |  | X Director 10% Owner<br>Officer (give title Other (specify<br>below) below) |  |           |  |
|  | (Street)   |   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)           |  |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |   |  |           |  |
| CEDAR RA   | PIDS, IA 5249  | 98  |   |  |   |  |  | Person  |  | porting   |  |
| (City)   | (State)  | (Zip)   | Tabl  | e I - Non-D                            | erivative   | Secur  | ities Acq  | uired, Disposed of  | , or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date 2A. Deemed<br>(Month/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |   | n Date, if  | 3.<br>Transactic<br>Code<br>(Instr. 8) | ties Acquired<br>sposed of (D)<br>4 and 5)<br>(A)<br>or |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)        |  |           |  |
| Common<br>Stock  | 09/25/2012   |   |   | Code V<br>S                            | Amount 2,834  | (D)<br>D   | Price<br>\$<br>52.86   | 13,456.095<br>(1)   | D  |           |  |
| Common<br>Stock  |  |   |   |  |   |  |  | 4,632 <u>(2)</u>  | D  |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Amou<br>Unde<br>Secur | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|---|---|---|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares            |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |            |         |       |  |  |  |  |
|--|---------------|------------|---------|-------|--|--|--|--|
|  | Director      | 10% Owner  | Officer | Other |  |  |  |  |
| SHAVERS CHERYL L<br>400 COLLINS ROAD NE<br>M/S 124-323<br>CEDAR RAPIDS, IA 52498 | Х             |            |         |       |  |  |  |  |
| Signatures   |               |            |         |       |  |  |  |  |
| Gary R. Chadick,<br>Attorney-in-Fact   |               | 09/27/2012 |         |       |  |  |  |  |
| **Signature of Reporting Person  |               | Date       |         |       |  |  |  |  |
| Evaluation of Doononooou   |               |            |         |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes dividend equivalents issued quarterly on the restricted stock units.
- (2) Restricted shares delivered as compensation for services as a director. Held by issuer to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.