### AMERITRADE HOLDING CORP

Form 4

September 16, 2005

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires:

2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5

if no longer

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TA ASSOCIATES IX LLC

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

AMERITRADE HOLDING CORP

(Check all applicable)

[AMTD]

3. Date of Earliest Transaction

(Middle)

Director 10% Owner Officer (give title

(Month/Day/Year)

below)

\_\_X\_\_ Other (specify below)

125 HIGH STREET, SUITE 2500

(First)

(Street)

09/14/2005

See General Remarks 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BOSTON, MA 02110

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount (D)

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Common Stock

12,019,137

Price

See

SEC 1474

(9-02)

Footnote 2 I (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: AMERITRADE HOLDING CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	Security			Code V	(Instr. 3, 4, and (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Forward Sale	<u>(1)</u>	09/14/2005		J <u>(1)</u>	12,019,137 (1)		<u>(1)</u>	<u>(1)</u>	Common	12,019,1

### **Reporting Owners**

Relationships							
Director	10% Owner	Officer	Other				
			See General				
			Remarks				
	Director		•				

# **Signatures**

TA Associates IX LLC	09/16/2005	
**Signature of Reporting Person	Date	
By: TA Associates, Inc., its Manager	09/16/2005	
**Signature of Reporting Person	Date	
By: Thomas P. Alber, Chief Financial Officer	09/16/2005	
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On September 14, 2005 (the "Trade Date"), TA IX L.P. entered into a Forward Sale Transaction (the "Transaction") with an unrelated third party (the "Buyer") relating to 12,019,137 shares of Common Stock. The maturity date of the Transaction is September 14, 2007, subject to acceleration in certain events. TA IX L.P. will receive a payment from the Buyer in the amount of \$20.28 per share (after provision for financing costs which are subject to adjustment) within three business days of the Trade Date.
- The reporting person may be deemed to have an indirect pecuniary interest as the General Partner of TA IX L.P. The reporting person disclaims benefical ownership of such shares because the reporting person's indirect pecuniary interest is subject to indeterminable future events.

### **Remarks:**

Member of a group which owns more than 10% in the aggregate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2