#### SMITH BRYAN SCOTT

Form 4

January 02, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SMITH BRYAN SCOTT

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

SONIC AUTOMOTIVE INC [SAH]

(Middle)

(Zip)

Execution Date, if

(Month/Day/Year)

(Check all applicable)

President and CSO

C/O SONIC AUTOMOTIVE,

(First)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) \_X\_\_ Director 12/28/2012

10% Owner X\_ Officer (give title Other (specify below)

INC., 4401 COLWICK ROAD

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

CHARLOTTE, NC 28211

1. Title of 2. Transaction Date 2A. Deemed

(State)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

SEC 1474

(9-02)

(A)

(Instr. 3, 4 and 5)

Following Reported Transaction(s)

Owned

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any

4. 5. TransactionNumber Code of

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

8. Price Derivat Securit

#### Edgar Filing: SMITH BRYAN SCOTT - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)		or osed O) r. 3,					(Instr. 5
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(1)</u>	12/28/2012		G	V	0 (2)		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	(2)	<u>(2)</u>
Class B Common Stock	<u>(1)</u>	12/28/2012		J		0 (3)		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	(3)	<u>(3)</u>
Class B Common Stock	<u>(1)</u>	12/28/2012		J		0 (4)		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	<u>(4)</u>	<u>(4)</u>
Class B Common Stock	<u>(1)</u>							<u>(1)</u>	<u>(1)</u>	Class A Common Stock	900,765	
Class B Common Stock	<u>(1)</u>	12/28/2012		G	V		0 (5)	<u>(1)</u>	(1)	Class A Common Stock	<u>(5)</u>	<u>(5)</u>
Class B Common Stock	(1)	12/28/2012		G	V		0 (6)	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	<u>(6)</u>	<u>(6)</u>
Class B Common Stock	(1)							<u>(1)</u>	<u>(1)</u>	Class A Common Stock	76,110	
Class B Common Stock	(1)	12/31/2012		G	V	0 (8)		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	<u>(8)</u>	<u>(8)</u>
Class B Common Stock	(1)	01/02/2013		G	V	0 (8)		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	(8)	<u>(8)</u>

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Othe				
SMITH BRYAN SCOTT	X		President and CSO					
C/O SONIC AUTOMOTIVE, INC.								
4401 COLWICK ROAD								

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CHARLOTTE, NC 28211

### **Signatures**

/s/ Stephen K. Coss, as Attorney-in-Fact

01/02/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Sonic Automotive, Inc.'s Class B Common Stock are convertible into shares of Sonic Automotive, Inc.'s Class A Common Stock on a 1-for-1 basis at the option of the reporting person and there is no expiration date.
  - The reporting person is a co-trustee and beneficiary of O. Bruton Smith Family Irrevocable Trust dated December 11, 2012 (the "Trust"), which received a 3.1685% interest in OBS Holdings, LLC, a North Carolina limited liability company ("Holdings") from Mr. O. Bruton
- (2) Smith by gift. Holdings is an indirect holder of 8,881,250 shares of Sonic Automotive, Inc.'s Class B Common Stock. The reporting person disclaims beneficial ownership of shares held by the Trust, SFC and interests in Holdings, except to the extent of his pecuniary interest therein.
- Reflects purchase of an 11.8819% interest in Holdings by OBS Family, LLC, a North Carolina limited liability company ("Family"), of (3) which the reporting person and his three siblings each own a 25% equity interest. The reporting person disclaims beneficial ownership of shares held by Family, except to the extent of his pecuniary interest therein.
- (4) Reflects purchase of an 11.8315% interest in Holdings by the Trust.
- (5) The reporting person gifted a 0.5% interest in BWI (defined below) to each of his three minor children.
- (6) The reporting person transferred a 17.5% interest in BWI (defined below) to his wife, as trustee for the Rollins GST Trust u/a/d 12/12/12.
- (7) The reporting person gifted 900,765 shares of Sonic Automotive, Inc.'s Class B Common Stock to BWI Financial, LLC, a North Carolina limited liability company ("BWI"). The reporting person is the sole manager and a member of BWI.
- (8) The reporting person received a gift of less than 1.0% of the outstanding stock of Sonic Financial Corporation ("SFC") from Mr. O. Bruton Smith. SFC holds directly 8,881,250 shares of Sonic Automotive, Inc.'s Class B Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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