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MAXWELL TECHNOLOGIES INC

Form 4

February 18, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

OMB APPROVAL

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response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| JORDEN YON Sy | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | |
|--|------------------|--|--|-----------------|----------------------------|--|---|-------------------------|-----------|
| | | MAXWELL TECHNOLOGIES INC [MXWL] | | | | (Check all applicable) | | | |
| (Last) | (First) (M | , | 3. Date of Earliest Transaction (Month/Day/Year) | | | X Director Officer (gives below) | | % Owner her (specify | |
| 9244 BALB | 02/13/2 | 02/13/2014 | | | | below) | below) | | |
| (Street) 4. | | | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | |
| Filed(1 | | | Filed(Month/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | |
| SAN DIEGO | | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) (Z | Zip) Tab | le I - Non-D | erivative S | Securi | ties Ac | quired, Disposed | of, or Beneficia | lly Owned |
| 1. Title of 2. Transaction Date 2A. De | | | | | | 5. Amount of 6. Ownership 7. Nature Securities Form: Direct Indirect | | | |
| Security (Instr. 3) | (Month/Day/Year) | Execution Date, if TransactionAcquired (A) or any Code Disposed of (D) | | | Securities Beneficially | Indirect Beneficial | | | |
| (111511. 5) | | (Month/Day/Year) | | * ` ′ | | Owned | (D) or Indirect (I) | Ownership | |
| | | (· · · · · · · · · · · · · · · · · · · | (, | (moure, rainee) | | | Following | (Instr. 4) | |
| | | | | | (A) | | Reported | | |
| | | | | | or | | Transaction(s) (Instr. 3 and 4) | | |
| | | | Code V | Amount | (D) | Price | (msu. 5 and 4) | | |
| Common Stock | 02/14/2014 | | M | 8,088 | A | \$0 | 44,991 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of stiorDerivative Securities b) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | (1) | 02/14/2014 | | M | | 8,088 | <u>(4)</u> | (2) | Common Stock | 8,088 |
| Restricted Stock Units | (1) | 02/13/2014 | | A | 9,413 | | <u>(4)</u> | (2) | Common Stock | 9,413 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| JORDEN YON 9244 BALBOA AVENUE SAN DIEGO, CA 92123 | X | | | | | | |

Signatures

Kevin S. Royal - Attorney o2/18/2014 in Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Units (RSU) represents a right to receive one share of the Issuer's common stock.
- (2) These units do not expire.
- (3) These units do not carry a conversion price.
- (4) The RSUs vest in full one year from the grant date as long as the holder remains in service to the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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