

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

November 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BORICK STEVEN J**

2. Issuer Name and Ticker or Trading Symbol  
**SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**2707 KIPLING STREET**  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**10/31/2014**

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

**HOUSTON, TX 77098**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/31/2014		M		16,006	A	\$ 17.56
							16,106
Common Stock	10/31/2014		S		16,006	D	\$ 19.6061
							(1)
Common Stock	11/03/2014		M		41,430	A	\$ 17.56
							41,530
Common Stock	11/03/2014		S		41,430	D	\$ 19.2498
							(2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 17.56	10/31/2014		M	16,006	11/02/2006 <sup>(3)</sup> 08/09/2016	Common Stock	16,006
Stock Option (Right to Buy)	\$ 17.56	11/03/2014		M	35,599	11/02/2006 <sup>(3)</sup> 08/09/2016	Common Stock	35,599
Stock Option (Right to Buy)	\$ 17.56	11/03/2014		M	5,831	11/02/2006 <sup>(3)</sup> 08/09/2016	Common Stock	5,831

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BORICK STEVEN J 2707 KIPLING STREET HOUSTON, TX 77098		X		

## Signatures

/s/ Steven J. Borick 11/03/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the weighted average sales price. The shares were sold at prices ranging from \$19.55 to \$19.69 per share. Full information  
(1) regarding the number of shares sold at each price shall be provided to the Securities and Exchange Commission staff (the Staff), Issuer, or a security holder of Issuer, upon request.

Represents the weighted average sales price. The shares were sold at prices ranging from \$19.20 to \$19.44 per share. Full information  
(2) regarding the number of shares sold at each price shall be provided to the Securities and Exchange Commission staff (the Staff), Issuer, or a security holder of Issuer, upon request.

(3) These options vested 25% on August 9 2007, 25% on August 9, 2008, 25% on August 9, 2009 and 25% on August 9, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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