# Edgar Filing: URANIUM ENERGY CORP - Form 3

#### **URANIUM ENERGY CORP**

Form 3 October 09, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **WALL RODNEY CRAIG** 

(Middle)

3922 COVINGTON CROSSING

(Street)

(Last) (First) 2. Date of Event Requiring Statement (Month/Day/Year)

10/01/2014

3. Issuer Name and Ticker or Trading Symbol URANIUM ENERGY CORP [UEC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other

(give title below) (specify below) VP Environmental, Health, Safety 5. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

## **CORPUS** CHRISTI, TXÂ 78414

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Date Exercisable Expiration Security Direct (D) Date Amount or or Indirect Title Number of (I) Shares (Instr. 5)

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Options to Purchase	11/12/2010	11/12/2020	Common Stock	15,000	\$ 5.15	D	Â
Options to Purchase	09/26/2011		Common Stock	50,000	\$ 2.78	D	Â
Options to Purchase	12/03/2014(1)	09/03/2019	Common Stock	150,000	\$ 1.32	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
WALL RODNEY CRAIG 3922 COVINGTON CROSSING CORPUS CHRISTI, TX 78414	Â	Â	VP Environmental, Health, Safety	Â		

# **Signatures**

/s/ RODNEY CRAIG
WALL

\*\*Signature of Reporting Person

Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Options vest over an 18-month period (25% 3 months after grant, 25% 6 months after grant, 25% 12 months after grant and the final 25% 18 month after grant).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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