

ERICKSON JOHN D
Form 4
December 17, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ERICKSON JOHN D

(Last) (First) (Middle)

215 S CASCADE ST

(Street)

FERGUS FALLS, MN 56537-2801

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Otter Tail Corp [OTTR]

3. Date of Earliest Transaction
(Month/Day/Year)

12/15/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/15/2009		S <u>(1)(2)</u>		274	D	\$ 23.34	2,126	I	By Foundation
Common Stock	12/15/2009		S <u>(2)</u>		510	D	\$ 23.35	1,616	I	By Foundation
Common Stock	12/15/2009		S <u>(2)</u>		100	D	\$ 23.36	1,516	I	By Foundation
Common Stock	12/15/2009		S <u>(2)</u>		202	D	\$ 23.37	1,314	I	By Foundation
Common Stock	12/15/2009		S <u>(2)</u>		100	D	\$ 23.38	1,214	I	By Foundation

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Common Stock	12/15/2009	<u>S(2)</u>	142	D	\$ 23.4	1,072	I	By Foundation
Common Stock	12/15/2009	<u>S(2)</u>	72	D	\$ 23.41	1,000	I	By Foundation
Common Stock	12/15/2009	<u>S(2)</u>	400	D	\$ 23.42	600	I	By Foundation
Common Stock	12/15/2009	<u>S(2)</u>	500	D	\$ 23.46	100	I	By Foundation
Common Stock	12/15/2009	<u>S(2)</u>	100	D	\$ 23.47	0	I	By Foundation
Common Stock	12/16/2009	M	10,000	A	\$ 19.75	106,852	D	
Common Stock	12/16/2009	<u>S(1)(3)</u>	700	D	\$ 23.37	106,152	D	
Common Stock	12/16/2009	<u>S(3)</u>	200	D	\$ 23.38	105,952	D	
Common Stock	12/16/2009	<u>S(3)</u>	2,600	D	\$ 23.4	103,352	D	
Common Stock	12/16/2009	<u>S(3)</u>	300	D	\$ 23.4075	103,052	D	
Common Stock	12/16/2009	<u>S(3)</u>	1,000	D	\$ 23.41	102,052	D	
Common Stock	12/16/2009	<u>S(3)</u>	400	D	\$ 23.415	101,652	D	
Common Stock	12/16/2009	<u>S(3)</u>	300	D	\$ 23.4175	101,352	D	
Common Stock	12/16/2009	<u>S(3)</u>	1,500	D	\$ 23.42	99,852	D	
Common Stock	12/16/2009	<u>S(3)</u>	1,200	D	\$ 23.43	98,652	D	
Common Stock	12/16/2009	<u>S(3)</u>	100	D	\$ 23.44	98,552	D	
Common Stock	12/16/2009	<u>S(3)</u>	600	D	\$ 23.45	97,952	D	
Common Stock	12/16/2009	<u>S(3)</u>	100	D	\$ 23.455	97,852	D	
Common Stock	12/16/2009	<u>S(3)</u>	400	D	\$ 23.46	97,452	D	
Common Stock	12/16/2009	<u>S(3)</u>	200	D	\$ 23.47	97,252	D	
	12/16/2009	<u>S(3)</u>	400	D	\$ 23.48	96,852 ⁽⁴⁾	D	

Common
StockCommon
Stock

4,981.9789 I ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ERICKSON JOHN D 215 S CASCADE ST FERGUS FALLS, MN 56537-2801	X		President & CEO	

Signatures

/s/ John D Erickson by Debra J Lill,
Attorney-in-Fact

12/17/2009

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All sales and gifts reported in this Form 4 were effected pursuant to Rule 10b5-1(c) trading plans.

(2)

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Trading Plan was adopted by The John and Kim Erickson Family Foundation, a non-profit charitable corporation under Section 501(c)(3) of the Internal Revenue Code on 5/7/2008.

- (3) Trading Plan was adopted by the reporting person on 3/6/2008.
- (4) Total direct holdings include shares jointly held with spouse through Merrill Lynch and shares acquired individually pursuant to Restricted Stock Awards and Performance Award distributions.

Remarks:

Due to SEC limitations of 30 transactions per Form 4 filing, please also view the first Form 4 for full report. On July 1, 2009,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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