Edgar Filing: XCEL ENERGY INC - Form 4

XCEL ENI Form 4	ERGY INC								
November	17, 2006								
FOR	M 4	~~				~~~			PPROVAL
	Washington, D.C. 20549							N OMB Number:	3235-0287
if no lo subject Section Form 4 Form 5 obligat	to 16. or Filed put	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section					Expires: January 31 2005 Estimated average burden hours per response 0.5		
may co <i>See</i> Ins 1(b).	ntinue. truction			nvestment	•	• •		011	
(Print or Type	e Responses)								
1. Name and Address of Reporting Person <u>*</u> VINCENT PATRICIA K			2. Issuer Name and Ticker or Trading Symbol XCEL ENERGY INC [XEL]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction				(Check all applicable)		
1225 SEVENTEENTH STREET			(Month/Day/Year) 11/15/2006			Director 10% Owner X Officer (give title Other (specify below) Dther (specify below) VP, Xcel Energy Services Inc.			
(Street) DENVER, CO 80202			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
DENVER	, CO 80202						Person		
(City)	(State)	(Zip)	Tab	ole I - Non-D	erivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if		Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: R	eport on a separate line	e for each cl	ass of sec	urities benef	icially owr	ned directly	or indirectly		
					Perso inform requir	ns who res nation cont ed to resp ys a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	Price of Derivative Security	(Month/Day/Ye	ear) (Instr. 8)	Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)					(Inst
			Code N	′ (A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	\$ 0	11/15/2006	А	25.213	<u>(1)</u>	(1)	Common Stock	25.213	\$ 2

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
VINCENT PATRICIA K 1225 SEVENTEENTH STREET DENVER, CO 80202			VP, Xcel Energy Services Inc.			
Signaturaa						

11/17/2006

Date

Signatures

Patrice D. Blaeser, Attorney-In-Fact for Patricia K. Vincent

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares of phantom stock are payable in cash following termination of reporting person's employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. #160; EVP GMDA, CMO

Signatures

Omar White, 04/06/2015 Attorney-In-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to Dr. Chodakewitz's company approved trading plan under Rule 10b5-1.
- (2) Open market sales reported on this line occurred at a weighted average price of \$115.12 (range \$114.87 to \$115.84).
- (3) Dr. Chodakewitz undertakes to provide (upon request by the SEC staff, the issuer or a security holder of the issuer) full information regarding the number of shares sold at each separate price.
- (4) Open market sales reported on this line occurred at a weighted average price of \$116.70 (range \$116.23 to \$117.04).

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(5) The option vests in 16 quarterly installments from 01/02/2014.

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