#### RPM INTERNATIONAL INC/DE/

Form 4 July 20, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

SULLIVAN FRANK C

1. Name and Address of Reporting Person \*

		RPM INTERNATIONAL INC/DE/ [RPM]			(Check all applicable)				
(First)	(Middle)	3. Date of Earliest Transaction					_X_ Director _X_ Officer (	give title	10% Owner Other (specify
			· · · · · · · · · · · · · · · · · · ·				below) below) Chairman and CEO		
			<del>-</del>				6. Individual or Joint/Group Filing(Check		
DINA, OH 44258						_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(State)	(Zip)	Tal	ble I - Non	-Derivativ	e Seci	ırities Ac	quired, Dispose	d of, or Benef	ficially Owned
2. Transaction Date (Month/Day/Year)	Execution I	Date, if	Code (Instr. 8)	on(A) or Dis (Instr. 3, 4	sposed 4 and 3 (A) or	of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
07/16/2015			F <u>(1)</u>		( /	\$ 47.59	946,434	D	
07/20/2015			A(2)	8,438	A	\$ 0	954,872	D	
07/20/2015			A(3)	75,000	A	\$ 0	1,029,872 (4)	D	
	RL ROAD, P.O. (Street)  OH 44258 (State)  2. Transaction Date (Month/Day/Year)  07/16/2015	RL ROAD, P.O. BOX 777 (Street)  OH 44258 (State)  Z. Transaction Date (Month/Day/Year)  Execution I any (Month/Da)  07/16/2015	(First) (Middle) 3. Date (Month, RL ROAD, P.O. BOX 777 07/16/(Street) 4. If An Filed(Month/Day/Year) Ta  2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)  07/16/2015	RPM INTERN [RPM]  (First) (Middle) 3. Date of Earliest (Month/Day/Year)  RL ROAD, P.O. BOX 777 07/16/2015  (Street) 4. If Amendment, Filed(Month/Day/Year)  OH 44258  (State) (Zip) Table I - Non  2. Transaction Date 2A. Deemed 3. Execution Date, if Transaction any Code (Month/Day/Year) (Instr. 8)  Code V  07/16/2015 F(1)	RPM INTERNATIONA [RPM]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  RL ROAD, P.O. BOX 777 07/16/2015  (Street) 4. If Amendment, Date Origin Filed(Month/Day/Year)  OH 44258  (State) (Zip) Table I - Non-Derivativ  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 (Month/Day/Year) (Instr. 8)  Code V Amount  07/16/2015 F(1) 26,338	RPM INTERNATIONAL IN [RPM]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  RL ROAD, P.O. BOX 777 07/16/2015  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  OH 44258  (State) (Zip) Table I - Non-Derivative Sect 2. Transaction Date (Month/Day/Year)  Execution Date, if Transaction(A) or Disposed any Code (Instr. 3, 4 and 3 or Code V Amount (D)  O7/16/2015 F(1) 26,338 D  O7/20/2015 A(2) 8,438 A	RPM INTERNATIONAL INC/DE/ [RPM]   3. Date of Earliest Transaction (Month/Day/Year)   07/16/2015   4. If Amendment, Date Original Filed(Month/Day/Year)   Table I - Non-Derivative Securities Acquired (Month/Day/Year)   2A. Deemed   3.	RPM INTERNATIONAL INC/DE/ [RPM]   (C)   (RPM]   (C)   (RPM]   (C)   (RPM]   (C)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Street)   (A)   (A)	RPM INTERNATIONAL INC/DE/   RPM

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Common Stock, \$0.01 par value	15,000	I	By LLC
Common Stock, \$0.01 par value	11,705	I	By Thomas C. and Sandra S. Sullivan Irrevocable Grandchildren's Trust dated May 8, 2006
Common Stock, \$0.01 par value	9,630	I	By Thomas C. Sullivan Irrevocable Trust FBO Frank C. Sullivan 10/26/12
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	I	As custodian for son
Common Stock, \$0.01 par value	3,000	Ι	As custodian for son
Common Stock, \$0.01 par value	4,226 <u>(5)</u>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amoun
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative	Expiration Date	Underlying Securiti
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or		

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Derivative Disposed of (D) Security (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amo Exercisable Date Num Share Stock Common Appreciation 200,000 (6) 07/20/2025 200 \$ 47.14 07/20/2015 Α Stock Rights

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SULLIVAN FRANK C

2628 PEARL ROAD
P.O. BOX 777

MEDINA, OH 44258

Relationships

Chairman and CEO

## **Signatures**

/s/ Frank C. Sullivan, by Gregory S. Harvey, his attorney-in-fact pursuant to Power of Attorney dated September 26, 2013 on file with the Commission

07/20/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 16, 2015, 60,000 shares of Common Stock issued to the reporting person as Performance Earned Restricted Stock pursuant to the (1) RPM International Inc. 2004 Omnibus Equity and Incentive Plan (the "Plan") vested. In accordance with the Plan, the reporting person disposed of 26,338 shares of Common Stock back to the Issuer to satisfy tax obligations of the reporting person.
- (2) The reporting person was granted 8,438 shares of Common Stock, issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan.
- (3) The reporting person was granted 75,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.
  - Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 167,529 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 240,000 shares of
- (4) Common Stock issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, 114,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 75,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.
- (5) Approximate number of shares held as of July 20, 2015 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (6) The Stock Appreciation Rights vest in four equal installments, beginning on July 20, 2016.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity and Incentive Plans in exempt (7) transactions under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2007 and 2014 and expire 10 years from the date of grant.

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