

GORMAN RUPP CO  
Form 5  
February 08, 2017

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
GORMAN JAMES CARVELL

2. Issuer Name and Ticker or Trading Symbol  
GORMAN RUPP CO [GRC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman

THE GORMAN-RUPP COMPANY, 600 SOUTH AIRPORT ROAD

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MANSFIELD, OH 44903

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)	
				(A) or (D)	Amount or Price			
Common Stock	01/08/2016		G	69,675 D	\$ 0	1,283,022	I	By James C. Gorman Trust
Common Stock	12/15/2016		G	1,000 D	\$ 0	1,282,022	I	By James C. Gorman Trust

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Common Stock (401-K Plan)	03/31/2016	Â	<u>J<sup>(1)</sup></u>	67	A	\$ 25.93	8,314	I	By 401-K Trust
Common Stock (401-K Plan)	06/30/2016	Â	<u>J<sup>(1)</sup></u>	52	A	\$ 27.41	8,366	I	By 401-K Trust
Common Stock (401-K Plan)	09/30/2016	Â	<u>J<sup>(1)</sup></u>	76	A	\$ 25.61	8,442	I	By 401-K Trust
Common Stock (401-K Plan)	12/20/2016	Â	<u>J<sup>(2)</sup></u>	637	D	\$ 33.94	7,805	I	By 401-K Trust
Common Stock (401-K Plan)	12/31/2016	Â	<u>J<sup>(1)</sup></u>	44	A	\$ 30.95	7,849	I	By 401-K Trust
Common Stock	01/08/2016	Â	G	5,175	A	\$ 0	3,924,196	I	By family <sup>(3)</sup>
Common Stock	03/15/2016	Â	<u>J<sup>(4)</sup></u>	292	A	\$ 26.38	3,924,488	I	By family <sup>(5)</sup>
Common Stock	03/31/2016	Â	<u>J<sup>(1)</sup></u>	209	A	\$ 25.93	3,924,697	I	By family <sup>(6)</sup>
Common Stock	06/15/2016	Â	<u>J<sup>(4)</sup></u>	257	A	\$ 29.72	3,924,954	I	By family <sup>(7)</sup>
Common Stock	06/30/2016	Â	<u>J<sup>(1)</sup></u>	144	A	\$ 27.41	3,925,098	I	By family <sup>(8)</sup>
Common Stock	09/14/2016	Â	<u>J<sup>(4)</sup></u>	291	A	\$ 26.1	3,925,389	I	By family <sup>(9)</sup>
Common Stock	09/30/2016	Â	<u>J<sup>(1)</sup></u>	257	A	\$ 25.61	3,925,646	I	By family <sup>(10)</sup>
Common Stock	12/14/2016	Â	<u>J<sup>(4)</sup></u>	282	A	\$ 32	3,925,928	I	By family <sup>(11)</sup>
Common Stock	12/31/2016	Â	<u>J<sup>(1)</sup></u>	70	A	\$ 30.95	3,925,998	I	By family <sup>(12)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Price of Underlying Security (Instr. 5)
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GORMAN JAMES CARVELL THE GORMAN-RUPP COMPANY 600 SOUTH AIRPORT ROAD MANSFIELD, OH 44903	X	X	Chairman	

## Signatures

James C. Gorman BY: /s/Brigette A. Burnell  
Attorney-in-Fact 02/08/2017

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under GRC 401(k) Plan.
- (2) Distribution of cash equivalent of 637 common shares required to be made by internal revenue code due to reporting person being over age 70 1/2.  
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (3) 3,219,581 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
- (4) Shares acquired through dividend reinvestment.  
Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes
- (5) 3,219,873 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
- (6) 3,220,082 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.
- (7) 3,220,339 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

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(8) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,220,483 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(9) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,220,774 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(10) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,221,031 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(11) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,221,313 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

(12) Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,221,383 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.