PEEK MARK S Form 4 January 04, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * PEEK MARK S			Issuer Name and Ticker or Trading     Symbol     Workday, Inc. [WDAY]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
			(Month/Day/Year)	Director 10% Owner			
C/O WORKDAY, INC., 6230 STONERIDGE MALL ROAD		6230	12/19/2017	_X_ Officer (give title Other (specify			
		OAD		below) below) co-President			
(Street)			4. If Amendment, Date Original 6. Individual or Joint/Group I				
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
PLEASANTON, CA 94588				Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tal	ole I - N	lon-	Derivative	Secu	rities Acquire	d, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acquired (A) or onDisposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
Class A Common Stock	12/19/2017		G	V	240	D	\$ 0	187,633 <u>(1)</u> <u>(2)</u>	D (3)	
Class A Common Stock	01/02/2018		C		15,000	A	\$ 0	202,633 (1)	D (3)	
Class A Common Stock	01/02/2018		S(4)		14,100	D	\$ 100.873 (5)	188,533 (1)	D (3)	
Class A Common	01/02/2018		S(4)		900	D	\$ 101.7188	187,633 <u>(1)</u>	D (3)	

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Stock					<u>(6)</u>		
Class A Common Stock	01/03/2018	C	15,000	A	\$ 0	202,633 (1)	D (3)
Class A Common Stock	01/03/2018	S(4)	15,000	D	\$ 105	187,633 (1)	D (3)
Class A Common Stock	01/04/2018	C	15,000	A	\$ 0	202,633 (1)	D (3)
Class A Common Stock	01/04/2018	S <u>(4)</u>	15,000	D	\$ 110	187,633 (1)	D (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P. Deri Secu (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(7)</u> <u>(8)</u>	01/02/2018		C	15,000	(7)(8)	(7)(8)	Class A Common Stock	15,000	
Class B Common Stock	<u>(7)</u> <u>(8)</u>	01/03/2018		C	15,000	(7)(8)	(7)(8)	Class A Common Stock	15,000	:
Class B Common Stock	<u>(7)</u> <u>(8)</u>	01/04/2018		C	15,000	(7)(8)	(7)(8)	Class A Common Stock	15,000	

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PEEK MARK S C/O WORKDAY, INC. 6230 STONERIDGE MALL ROAD PLEASANTON, CA 94588

co-President

## **Signatures**

/s/ Stacy Taylor, attorney-in-fact

01/04/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 173,707 RSUs that entitle the Reporting Person to receive one share of Class A Common Stock upon settlement, which will take place within 30 days of vesting, from original grants consisting of i) 69,738 RSUs with a grant date of 4/15/2014 which vested or will

- vest in eight (8) quarterly installments beginning 7/15/2016, and ii) 69,738 RSUs with a grant date of 4/15/2015, 25,000 RSUs with a grant date of 6/15/2015, 77,465 RSUs with a grant date of 4/15/2016, and 72,329 RSUs with a grant date of 4/14/2017, each of which vested or will vest as to 25% of the underlying shares on the one-year anniversary of grant and then quarterly thereafter. All grants are subject to the Reporting Person's continued service with the Issuer on the applicable vesting date.
- (2) Includes 152 shares of Class A Common Stock that were purchased through the Issuer's Employee Stock Purchase Program.
- (3) The reported shares, other than the shares underlying the RSUs described in footnote 1, are held by the OMEGA Living Trust, UTA 8/6/15, a revocable living trust, of which the Reporting Person is trustee and sole beneficiary.
- (4) The sale of these shares was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the OMEGA Living Trust, UTA 8/6/15.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within the range of \$100.5600 to \$101.5599, inclusive. The Reporting Person undertakes to provide to Workday, Inc., any security holder of Workday, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range(s) set forth in this footnote of this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices within the range of \$101.6200 to \$102.6199, inclusive. The Reporting Person undertakes to provide to Workday, Inc., any security holder of Workday, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range(s) set forth in this footnote of this Form 4.
  - Each share of Class B Common Stock is convertible, at any time at the option of the holder, into one (1) share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one (1) share of Class A Common Stock upon any
- (7) transfer, whether or not for value, except for certain permitted transfers described in, and transfers to any "permitted transferee" as defined in, the Issuer's restated certificate of incorporation in effect as of the date hereof. The shares of Class B Common Stock have no expiration date.
  - All shares of Class A and Class B Common Stock will convert automatically into shares of a single class of Common Stock upon the earliest to occur of the following: (a) upon the election by the holders of a majority of the then outstanding shares of Class B Common
- (8) Stock, (b) the date when the number of outstanding shares of Class B Common Stock represents less than 9% of all outstanding shares of Class A and Class B Common Stock, (c) October 11, 2032 or (d) nine (9) months after the death of the later to die of David A. Duffield and Aneel Bhusri. The shares of Class A and Class B Common Stock have no expiration date.
- (9) Held by the OMEGA Living Trust, UTA 8/6/15, a revocable living trust, of which the Reporting Person is trustee and sole beneficiary. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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