Kastner Janeen B. Form 4 July 18, 2018

### FORM 4

#### OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

**SECURITIES** 

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005 Estimated average

Form 4 or
Form 5
obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Symbol

[RPM]

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

RPM INTERNATIONAL INC/DE/

1(b).

(Print or Type Responses)

Kastner Janeen B.

1. Name and Address of Reporting Person \*

(Last) 2628 PEAR	· · ·	(Me	3. Date of Earliest Transaction (Month/Day/Year) 07/16/2018				DirectorX Officer (gives)	re titleOth below)	6 Owner er (specify	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  MEDINA, OH 44258					VP Corp. Benefits/Risk Mgmt.  6. Individual or Joint/Group Filing(Check Applicable Line)  _X_ Form filed by One Reporting Person  Form filed by More than One Reporting				
(City)	(Zip)	Tobla I - Non-Darivativa Securities Acc					Person quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	(State) (Zip)  2. Transaction Date (Month/Day/Year) Execution any (Month/		3. Trans Code	sactio	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock, \$0.01 par value	07/16/2018		A(1)		1,992	A	\$ 0	88,266	D	
Common Stock, \$0.01 par value	07/16/2018		D <u>(2)</u>		16,000	D	\$ 0	72,279 (3)	D	
Common Stock, \$0.01 par value								965 (4)	I	By 401(k) Plan

#### Edgar Filing: Kastner Janeen B. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
	security			Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Stock Appreciation Rights	\$ 60.01	07/16/2018		A	30,000	<u>(5)</u>	07/16/2028	Common Stock	30,00

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kastner Janeen B. 2628 PEARL ROAD P.O. BOX 777 MEDINA, OH 44258

VP Corp. Benefits/Risk Mgmt.

### **Signatures**

/s/ Janeen B. Kastner, by Gregory J. Dziak, her attorney-in-fact pursuant to Power of Attorney dated October 9, 2014 on filed with the Commission

07/18/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 1,992 shares of Common Stock, issued pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.
- (2) On July 16, 2018, 16,000 shares of Common Stock, issued as Performance Contingent Restricted Stock, were cancelled by the Compensation Committee of the Issuer and disposed of back to the Issuer.
- Includes an aggregate of 21,501 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan and the RPM International Inc. 2014 Omnibus Equity and Incentive Plan, and 14,000 shares of Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.

Reporting Owners 2

#### Edgar Filing: Kastner Janeen B. - Form 4

- (4) Approximate number of shares held as of July 16, 2018 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (5) The Stock Appreciation Rights vest in four equal installments, beginning on July 16, 2019.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity and Incentive Plans in exempt
- (6) transactions under Rule 16b-3. These Stock Appreciation Rights vest in four equal annual installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2014 and 2017 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.