

ALKAME HOLDINGS, INC.

Form 3

December 19, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KAUFMAN CRAIG

(Last) (First) (Middle)

9858 GLADES RD

(Street)

BOCA RATON, FL 33434

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/19/2014

3. Issuer Name and Ticker or Trading Symbol

ALKAME HOLDINGS, INC. [ALKM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Series A Preferred Stock

2,000,000

I

Kaufman &amp; Associates Inc.

Series B Preferred Stock

7,277,500

I

Kaufman &amp; Associates Inc.

Series B Preferred Stock

1,000,000

D

KA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

# Edgar Filing: ALKAME HOLDINGS, INC. - Form 3

			(Instr. 4)				
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Series A Preferred Stock	12/18/2014	Â <u>(1)</u>	Common Stock	100,000,000 <u>(2)</u>	\$ 0 <u>(3)</u>	I	Kaufman & Associates Inc.
Series B Preferred Stock	12/18/2014	Â <u>(1)</u>	Common Stock	7,277,500 <u>(2)</u>	\$ 0 <u>(3)</u>	I	Kaufman & Associates Inc.
Series B Preferred Stock	12/18/2014	Â <u>(1)</u>	Common Stock	1,000,000 <u>(2)</u>	\$ 0 <u>(3)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAUFMAN CRAIG 9858 GLADES RD BOCA RATON, FL 33434	Â	Â X	Â	Â

## Signatures

/s/ Craig  
Kaufman

12/18/2014

    Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) There is no expiration date on when the Series A Preferred Stock and the Series B Preferred Stock may be exercised.

(2) Includes 2,000,000 shares of Series A Convertible Stock held by Kaufman & Associates Inc. that may be converted into 100,000,000 shares of common stock, 7,277,500 shares of Series B Preferred Stock held by Kaufman & Associates Inc. that may be converted into 7,277,500 shares of common stock and 1,000,000 shares of Series B Preferred Stock held by Craig Kaufman that may be converted into 1,000,000 shares of common stock. Craig Kaufman has sole beneficial ownership over Kaufman & Associates Inc.

(3) Each share of Series A Preferred Stock may be exchanged for 50 shares of Common Stock. Each share of Series B Preferred Stock may be exchanged for 1 share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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