Edgar Filing: Carbonite Inc - Form 4

Carbonite Ind Form 4 February 04,										
FORM	1 4								PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check the								Expires:	January 31,	
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							NERSHIP OF	Estimated a	2005 average	
Section 1			SECUR	ITIES				burden hours per		
Form 4 o								response 0.5		
Form 5 obligation	-	suant to Section 1				-				
may cont		a) of the Public U	•	.				n		
See Instru		30(h) of the Ir	vestment	Company	v Act	of 194	40			
1(b).										
(Print or Type F	Responses)									
1. Name and Address of Reporting Person *2. Issuer Name and Ticker or Trading5. Relationship of RFOLGER ANTHONYSymbolIssuer							Reporting Person(s) to			
			ite Inc [C	ARB1						
			rbonite Inc [CARB]			(Check all applicable)				
(Last)	(First) (M		f Earliest Tr	ansaction				100	-	
C/O CARBO	nth/Day/Year) 01/2016			Director 10% Owner X Officer (give title Other (specify						
AVENUE D	1/2010			below) below)						
AVENUEL							Chief	Financial Offic	er	
	endment, Date Original			6. Individual or Joint/Group Filing(Check						
Filed(Month				h/Day/Year)			Applicable Line)			
X Form filed by (One Reporting Person lore than One Reporting			
BOSTON, N	MA 02111						Person	fore than One Re	porung	
(City)	(State) (Zip) Tab								
(eny)	(State) (Tab.	le I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Date		3.				5. Amount of	6. Ownership		
Security	(Month/Day/Year)	Execution Date, if		n(A) or Disposed of (D) (Instr. 3, 4 and 5)		Beneficially (Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)							
(ivioiitii/Day/1			$(\operatorname{Insu}, 3) (\operatorname{Insu}, 5, 4 \text{ and } 3)$,)	Following	(Instr. 4)	(Instr. 4)	
					(1)		Reported			
					(A) or		Transaction(s)			
			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	02/01/2016		٨	80,000	٨	\$ 0	221 647 (3)	D		
Stock	02/01/2016		А	(1) (2)	А	\$0	331,647 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Carbonite Inc - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FOLGER ANTHONY C/O CARBONITE, INC. 2 AVENUE DE LAFAYETTE BOSTON, MA 02111			Chief Financial Officer				
Signatures							
/s/ Danielle Sheer, by power of attorney							
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the grant of restricted stock units. Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock.

30,000 restricted stock units (the "4-Year RSUs") vest in 4 equal annual installments beginning on February 1, 2017 and will be settled on each applicable vest date in shares of the issuer's common stock. 50,0000 restricted stock units (the "Performance-based RSUs") will meet the performance vesting condition if, within three years from February 1, 2016, the closing price per share of the Common Stock is at least \$15.00 for 20 consecutive trading days. Upon achieving the applicable performance vesting condition ("Performance

- (2) Achievement"), the Award will be subject to service vesting, with vesting of such Performance-Based Restricted Stock Units to occur in four equal 3-month installments over the one-year period from the date of Performance Achievement, subject to the Recipient's continued service to the Company through the applicable vesting date. The vesting schedule for the Performance-based RSUs shall be accelerated upon certain Change of Control events.
- (3) Includes 331,647 shares of common stock subject to unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.