Carbonite Inc Form 4 May 10, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| FLOWERS.                             | Symbol                                                                                   | Symbol                                                            |                                        |                                                                                              |   |                                                                                                                    | Issuer                                                                                               |                                                                   |               |  |
|--------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------|----------------------------------------------------------------------------------------------|---|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|---------------|--|
|                                      | Carboni                                                                                  | Carbonite Inc [CARB]                                              |                                        |                                                                                              |   |                                                                                                                    | (Check all applicable)                                                                               |                                                                   |               |  |
| (Last)                               | (Month/D                                                                                 | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>05/04/2016 |                                        |                                                                                              |   | X Director 10% Owner Officer (give title Other (specify below)                                                     |                                                                                                      |                                                                   |               |  |
| AVENUE D                             | E LAFAYETTE                                                                              |                                                                   |                                        |                                                                                              |   |                                                                                                                    | cele ",                                                                                              |                                                                   |               |  |
|                                      | (Street)                                                                                 |                                                                   | 4. If Amendment, Date Original         |                                                                                              |   |                                                                                                                    | 6. Individual or Joint/Group Filing(Check                                                            |                                                                   |               |  |
| BOSTON, M                            | ИА 02111                                                                                 | Filed(Mon                                                         | Filed(Month/Day/Year)                  |                                                                                              |   |                                                                                                                    | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |                                                                   |               |  |
| (City)                               | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                                                   |                                        |                                                                                              |   |                                                                                                                    |                                                                                                      |                                                                   |               |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)                                                  | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)       | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                                 | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |               |  |
| Common<br>Stock                      | 05/04/2016                                                                               |                                                                   | G                                      | 4,750                                                                                        | D | \$0                                                                                                                | 76,457                                                                                               | I (1)                                                             | By Spouse (1) |  |
| Common<br>Stock                      |                                                                                          |                                                                   |                                        |                                                                                              |   |                                                                                                                    | 269,787                                                                                              | D                                                                 |               |  |
| Common<br>Stock                      |                                                                                          |                                                                   |                                        |                                                                                              |   |                                                                                                                    | 26,316                                                                                               | I (2)                                                             | By Trust      |  |
| Common<br>Stock                      |                                                                                          |                                                                   |                                        |                                                                                              |   |                                                                                                                    | 26,316                                                                                               | I (3)                                                             | By Trust      |  |
| Common<br>Stock                      |                                                                                          |                                                                   |                                        |                                                                                              |   |                                                                                                                    | 174,848                                                                                              | I (4)                                                             | By Trust      |  |

Common Stock

17,000

 $I^{(5)}$ 

By Trust (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.                      | 6. Date Exer        | cisable and     | 7. Tit | le and     | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|-------------------------|---------------------|-----------------|--------|------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | tionNumber              | Expiration D        | ate             | Amou   | ınt of     | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of                      | (Month/Day/         | Year)           | Unde   | rlying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | ) Derivativ             | re                  |                 | Secur  | ities      | (Instr. 5)  |
|             | Derivative  |                     |                    |           | Securities              | S                   |                 | (Instr | . 3 and 4) |             |
|             | Security    |                     |                    |           | Acquired                |                     |                 |        |            |             |
|             | •           |                     |                    |           | (A) or                  |                     |                 |        |            |             |
|             |             |                     |                    |           | Disposed                |                     |                 |        |            |             |
|             |             |                     |                    |           | of (D)                  |                     |                 |        |            |             |
|             |             |                     |                    |           | (Instr. 3,<br>4, and 5) |                     |                 |        |            |             |
|             |             |                     |                    |           |                         |                     |                 |        |            |             |
|             |             |                     |                    |           | , ,                     |                     |                 |        |            |             |
|             |             |                     |                    |           |                         |                     |                 |        | Amount     |             |
|             |             |                     |                    |           |                         | Date<br>Exercisable | Expiration Date | Title  | or         |             |
|             |             |                     |                    |           |                         |                     |                 |        | Number     |             |
|             |             |                     |                    |           |                         | Excicisable         | Date            |        | of         |             |
|             |             |                     |                    | Code      | V (A) (D)               |                     |                 |        | Shares     |             |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FLOWERS JEFFRY C/O CARBONITE, INC. TWO AVENUE DE LAFAYETTE BOSTON, MA 02111



### **Signatures**

/s/ Danielle Sheer, by power of attorney

05/10/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are owned by the reporting person's spouse. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.

**(2)** 

Reporting Owners 2

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These shares are owned by the Jeffry Flowers 2010 Grantor Retained Annuity Trust. The reporting person is trustee of the trust. The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.

- These shares are owned by the Laurie Flowers 2010 Grantor Retained Annuity Trust. The reporting person's spouse is trustee of the trust.

  (3) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for Section 16 or any other purpose.
- These shares are owned by the Jeffry and Laurie Flowers 2012 Family Irrevocable Trust. The reporting person and the reporting person's spouse are trustee of the trust. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of such
- securities for Section 16 or any other purpose.
- (5) The reporting persons maintain investment and dispositive power over the shares, but disclaims any pecuniary interest in such shares. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.