Edgar Filing: Mohan Deepak - Form 4

| Mohan Deer Form 4 May 03, 201 | | | | | | | | | | | |
|--|------------------------|--------------------|--|--------------------------------|------------------------------|-------------------------|---|----------------------------|-------------------------|--|--|
| FORM | 14 | | | | | | | | PPROVAL | | |
| Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this box if no longer STATEMENT OF CHANCES IN DENFELCIAL OWNERSHIP | | | | | | | | Expires: | January 31, | | |
| subject to | GES IN BENEFICIAL OWNE | | | | NERSHIP OF | Estimated a | 2005 average | | | | |
| | Section 16. SECURITIES | | | | | | | burden hours per | | | |
| Form 4 c | | | | | | | | response 0.5 | | | |
| Form 5 obligatio | - | suant to Section | | | | - | | | | | |
| may cont | | a) of the Public U | • | • | | | | n | | | |
| See Instr | | 30(h) of the In | nvestment | Compan | y Ac | t of 194 | 40 | | | | |
| 1(b). | | | | | | | | | | | |
| | | | | | | | | | | | |
| (Print or Type l | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to | | | | | | | | son(s) to | | | |
| Mohan Dee | pak | Symbol | bol | | | | Issuer | | | | |
| | | Carbor | rbonite Inc [CARB] | | | | (Check all applicable) | | | | |
| (Last) | (First) (M | fiddle) 3. Date of | Date of Earliest Transaction | | | (Check an applicable) | | | | | |
| (Month/J | | | Ionth/Day/Year) | | | Director | | Owner | | | |
| C/O CARBONITE, INC., 2 05/02/2 | | | /02/2018 | | | | X_ Officer (give title Other (specify below) below) | | | | |
| AVENUE I | | | | | SVP Products and Engineering | | | | | | |
| | | | | andmant. Data Original | | | | | | | |
| | | | If Amendment, Date Original led(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| Filed(Month/ | | | |) | | | _X_ Form filed by One Reporting Person | | | | |
| | | | | | | Iore than One Reporting | | | | | |
| 2001010,1 | | | | | | | Person | | | | |
| (City) | (State) (| (Zip) Tab | le I - Non-D | Derivative | Securi | ities Aco | quired, Disposed of | f, or Beneficial | ly Owned | | |
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Year) | Execution Date, if | Transaction(A) or Disposed of | | | | Securities | Form: Direct | Indirect | | |
| (Instr. 3) | | any | Code (D) | | | | (D) or | Beneficial | | | |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 8) (Instr. 3, 4 and 5) | | | | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | | |
| | | | | | | | Reported | (111501.4) | (111501.4) | | |
| | | | | | (A) | | Transaction(s) | | | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common | | | | 3,397 | | \$ | | | | | |
| Stock | 05/02/2018 | | S | (1) | D | ф 31.3 | 60,261 <u>(2)</u> | D | | | |
| Stock | | | | _ | | 0 1.0 | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Mohan Deepak - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|----------------------------|------|-------------------------------|--|--|--|--|
| | Director 10% Owner Officer | | Other | | | | |
| Mohan Deepak C/O CARBONITE, INC. 2 AVENUE DE LAFAYETTE BOSTON, MA 02111 | | | SVP Products annd Engineering | | | | |
| Signatures | | | | | | | |
| /s/ Danielle Sheer, by power of attorney | | | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares automatically sold pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 21, 2018.
- (2) Includes 60,261 shares of common stock subject to unvested restricted stock units

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.