Noranda Aluminum Holding CORP Form 4

March 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Croft Scott M

2. Issuer Name and Ticker or Trading Symbol

Noranda Aluminum Holding CORP

[NONE]

(Last) (First) (Middle)

(Month/Day/Year) 03/04/2014

801 CRESCENT CENTRE DRIVE, SUITE 600

(State)

FRANKLIN, TN 37067

(City)

(Street)

(Zin)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

10% Owner Director X_ Officer (give title Other (specify below)

Pres-Downstream

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	Tab	le I - Non-l	Derivative Secu	rities	Acquir	ed, Disposed of,	or Beneficially	Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acoror Disposed of	_	d (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)
							Reported	(I)	(111501. 4)
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
restricted stock units	03/04/2014		J	7,798.4437 (1)	D	\$0	0	D	
Common stock	03/04/2014		J	7,798 <u>(2)</u>	A	\$0	65,173 <u>(3)</u>	D	
Common stock	03/04/2014		F	2,064 (4)	D	\$0	63,109	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						· ·
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title Number	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Croft Scott M

801 CRESCENT CENTRE DRIVE

SUITE 600

FRANKLIN, TN 37067

Pres-Downstream

Signatures

/s/ Gail E. Lehman, Attorney-in-Fact for Scott Croft

03/07/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the final vesting on 3/4/14 of the Time-Based Restricted Stock Units awarded on 3/4/11 and any DEUs awarded thereon. Disposing of the vested stock in this line item and will acquire the same as common stock in the line below.
- Reflects the Common Stock owned after the final vesting of the Time-Based Restricted Stock Units awarded on 3/4/11 including any dividends awarded thereon. Initial filing made on 3/7/11 reported the RSUs on Table 1 as Restricted Stock Units. This filing is to accurately reflect the vested shares as Common Stock instead of RSUs. The Fractional of .4437 reflected in the line above vested on 3/4/14 and was paid in cash directly to Mr. Croft's brokerage account.
- (3) This amount has been corrected to accurately reflect the total of Noranda common stock owned by Mr. Croft.
- (4) Reflects the number of shares withheld to pay for taxes on the final vesting of the 3/4/11 Time-based RSU grant and all subsequent DEUs awarded thereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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