Edgar Filing: SMTC CORP - Form 4

| SMTC COR Form 4 August 14, 2 | | | | | | | | | | |
|---|------------------|-----------------------|--|--------|-------------|---|---|---|--|--|
| FORM 4 OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: 3235-028 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31 200 | | | | | | | 3235-0287 January 31, 2005 verage | | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person *2. IssueSilber Lawrence HarrisSymbolSMTC | | | d Ticker or SMTX] | Tradin | Ig | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) (Middle) | 3. Date of Earliest 7 | Transaction | | | | | | | |
| 7 FOX HOLLOW COURT (Month/D 08/13/20 | | | below) | | | | | 10% Owner e title Other (specify below) interim CEO | | |
| (Street) 4. If Ame Filed(Mon | | | Date Origina ar) | l | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| FLEMINGTON, NJ 08822 | | | | | | | | | | |
| (City) | (State) (Zip) | Table I - Non- | Derivative | Securi | ties Acqu | iired, Disposed of | , or Beneficial | y Owned | | |
| 1.Title of Security (Instr. 3) | any | | 4. Securit ior(A) or Di (Instr. 3, 4 | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | Code V | | (D) | Price | (Instr. 3 and 4) | | | | |
| Stock | 08/13/2013 | Р | 19,707 | А | \$ 1.82 | 19,707 | D | | | |
| Common Stock | 08/13/2013 | Р | 5,293 | А | \$ 1.819 | 25,000 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Silber Lawrence Harris 7 FOX HOLLOW COURT FLEMINGTON, NJ 08822 | Х | | Interim CEO | | | | |
| Signatures | | | | | | | |

| /s/ Lawrence H. Silber | 08/13/2013 | | |
|--|------------|--|--|
| <u>**</u> Signature of Reporting Person | Date | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.