

DEMPSEY PATRICK J
Form 4/A
November 27, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DEMPSEY PATRICK J

2. Issuer Name and Ticker or Trading Symbol
FIDELITY D & D BANCORP INC
[FDBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
10 SHORE POINT DRIVE, 22
MOOSIC LAKES

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/26/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman of the Board

JEFFERSON
TOWNSHIP, PA 18436

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)
09/26/2017

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock ⁽¹⁾	09/26/2017		P	55	A	\$ 50	55,516.2132	I	by spouse Karen Dempsey
Common Stock ⁽²⁾							28,284.494	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DEMPSEY PATRICK J 10 SHORE POINT DRIVE 22 MOOSIC LAKES JEFFERSON TOWNSHIP, PA 18436	X			Chairman of the Board

Signatures

Debra Alimenti 11/27/2017
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 On the original 9-26-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership.
- (1) On the original 9-26-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership.
- (2) On the original 9-26-17 Form 4 filing the Table I column 5 number of shares was inadvertently reported as the cumulative total of securities beneficially owned. The Table I column 5 totals have been amended to show the total securities beneficially owned by the nature of ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.