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SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

March 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

SHIBA KERRY A

(First)

(Middle)

(Zip)

SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

26600 TELEGRAPH ROAD, SUITE 03/07/2017

Symbol

400

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner Officer (give title Other (specify

EVP & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I Non Derivative Securities Acquired Disposed of an Paneficially Ov

SOUTHFIELD, MI 48033

(State)

(- 3)		1 adi	e I - Non-L	perivative	Secur	nues Acq	uirea, Disposea o	i, or Beneficial	y Ownea
1.Title of	2. Transaction Date	3.	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
					or		Transaction(s)		
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	03/07/2017		M	1,562	A	\$ 0	14,513	D	
Common Stock	03/09/2017		S <u>(1)</u>	620	D	\$ 26.07 (2)	13,893	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(3)	03/07/2017		M	1,562	<u>(4)</u>	<u>(4)</u>	Common Stock	1,562	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Director 10% Owner Officer Other

SHIBA KERRY A 26600 TELEGRAPH ROAD, SUITE 400 SOUTHFIELD, MI 48033

EVP & CFO

Signatures

Reporting Person

/s/ Kerry A.
Shiba

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold solely for the purpose of paying taxes due upon the vesting of shares from restricted stock units that were granted to the Reporting Person under the Company's Amended and Restated 2008 Equity Incentive Plan.
- The price reported in Column 4 of Table I is an average price. The shares were sold in multiple transactions at prices ranging from \$26.05 to \$26.075, inclusive. The reporting person undertakes to provide to Superior Industries International, Inc., any security holder of Superior Industries International, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock of Superior Industries International, Inc.
- (4) The restricted stock units vest and settle in stock in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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