Wells Robert L II Form 4 February 07, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

5 Relationship of Reporting Person(s) to

3235-0287 January 31, 2005

Expires: Estimated average

0.5

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tielzer or Tradina

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

02/05/2018

Stock

Wells Robert L II			Symbol	RESOURCES INC [RGCO]	Issuer (Check all applicable)		
	(Last) P.O. BOX 1		, , , , , , , , , , , , , , , , , , , ,	of Earliest Transaction 'Day/Year) 2018	— Director — 10% Owner —X_ Officer (give title — Other (specify below)  VP, Information Technology		
		(Street)		nendment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
	ROANOKE	C, VA 24030			Form filed by More than One Reporting Person		
	(City)	(State)	(Zip) Tab	ble I - Non-Derivative Securities	Acquired, Disposed of, or Beneficially Owned		
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	(A) or Code V Amount (D) Pr	D) Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)		
	Common	02/05/2019		M(1) 275 A \$	11,014.797		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\mathbf{M}^{(1)}$ 

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

375

Α

12.633

(2)

#### Edgar Filing: Wells Robert L II - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securities	;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock	\$ 12.633	02/05/2018		M	375	06/06/2014	12/06/2023	Common Stock	375	

De

(In

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F</b>	Director	10% Owner	Officer	Other	
Wells Robert L II			VP,		
P.O. BOX 13007			Information		
ROANOKE, VA 24030			Technology		

### **Signatures**

Robert L. Wells,	02/07/2018
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of options granted 12/06/2013. All options fully exercisable six months after date of grant.
- (2) Includes 6.156 shares purchased through dividends reinvested in the RGC Resources, Inc. Dividend Reinvestment and Stock Purchase Plan. Also includes 7.295 restricted shares purchased through dividends reinvested in the RGC Resources, Inc. Restricted Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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