

HALLIBURTON CO  
Form 4  
December 05, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LESAR DAVID J**

(Last) (First) (Middle)

**3000 N. SAM HOUSTON  
PARKWAY E.**

(Street)

**HOUSTON, TX 77032**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**HALLIBURTON CO [HAL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/03/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/03/2014		A	Amount (1) 96,000	Price (2) \$ 40.75	632,471.93	D
Common Stock	12/03/2014		D	Amount (3) 16,890	Price (4) \$ 41.21	615,824.923	D
Common Stock						3,308.14	I By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount
Option to Buy Common Stock	\$ 40.75	12/03/2014		A		178,100		12/03/2014 <sup>(5)</sup>	12/03/2024	Common Stock	17
Option to Buy Common Stock	\$ 50.62							12/04/2013	12/04/2023	Common Stock	13
Option to Buy Common Stock	\$ 33.5							12/05/2012	12/05/2022	Common Stock	20
Option to Buy Common Stock	\$ 35.57							12/06/2011	12/06/2021	Common Stock	14
Option to Buy Common Stock	\$ 39.19							12/01/2010	12/01/2020	Common Stock	10
Call Option (obligation to sell)	\$ 70							06/20/2014	01/17/2015	Common Stock	2
Put Option (right to sell)	\$ 70							06/20/2014	01/17/2015	Common Stock	2

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director

10% Owner

Officer

Other

LESAR DAVID J  
3000 N. SAM HOUSTON PARKWAY E.  
HOUSTON, TX 77032

X

Chairman  
and CEO

## Signatures

Robert L. Hayter, by Power of  
Attorney

12/05/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) On December 3, 2014, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$40.75.  
Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
- (3) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- (4) Includes 242.993 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the period ended June 30, 2014 and September 30, 2014.
- (5) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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