#### HALLIBURTON CO

Form 4

December 09, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Pope Lawrence J

2. Issuer Name and Ticker or Trading

Symbol

HALLIBURTON CO [HAL]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(City)

(First) (Middle)

(7:m)

3. Date of Earliest Transaction

(Month/Day/Year)

12/07/2016

Director 10% Owner \_ Other (specify

\_X\_\_ Officer (give title below)

HALLIBURTON COMPANY, 3000 N. SAM HOUSTON PARKWAY E.

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

**EVP Administration & CHRO** 

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77032

(City)	(State)	Tabl	e I - Non-I	Derivative (	Securi	ities Acqu	iired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	posed	of (D)  Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/07/2016		A	18,400 (1)	A	\$ 53.54 (2)	183,214.039	D	
Common Stock	12/08/2016		F	23,233 (3)	D	\$ 54.02	159,981.039	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Option to Buy Common Stock	\$ 53.54	12/07/2016		A	30,500	12/07/2016 <sup>(4)</sup>	12/07/2026	Common Stock	30,50
Option to Buy Common Stock	\$ 38.95					12/02/2015	12/02/2025	Common Stock	44,50
Option to Buy Common Stock	\$ 40.75					12/03/2014	12/03/2024	Common Stock	47,40
Option to Buy Common Stock	\$ 50.62					12/04/2013	12/04/2023	Common Stock	29,40
Option to Buy Common Stock	\$ 33.5					12/05/2012	12/05/2022	Common Stock	38,50
Option to Buy Common Stock	\$ 35.57					12/06/2011	12/06/2021	Common Stock	28,30
Option to Buy Common Stock	\$ 39.19					12/01/2010	12/01/2020	Common Stock	23,00
Option to Buy Common Stock	\$ 29.35					12/01/2009	12/01/2019	Common Stock	26,50
	\$ 36.9					12/05/2007	12/05/2017		9,10

Option to Common
Buy Stock
Common

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

**EVP Administration & CHRO** 

### **Signatures**

Stock

Robert L. Hayter, by Power of Attorney 12/09/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) On December 7, 2016, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$53.54.
  - Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
- (3) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- (4) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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