Malone Robert A Form 4 August 03, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

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**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Malone Robert A Issuer Symbol HALLIBURTON CO [HAL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title P.O. BOX 437, 289 PR 3344 08/01/2018 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SONORA, TX 76950-0437 Person

(State)

(Zin)

(City)

| (City)                               | (State)                                 | Table Table            | e I - Non-D        | erivative   | Secur            | rities Acq                                 | uired, Disposed o  | f, or Beneficiall          | ly Owned                |
|--------------------------------------|---|------------------------|--------------------|---|------------------|--|--|----------------------------|-------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | Execution Date, if any | Code               | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially | 6. Ownership Form: Direct (D) or                         | Indirect<br>Beneficial     |                         |
|                                      |   | (Month/Day/Year)       | (Instr. 8)  Code V | Amount  | (A)<br>or<br>(D) | Price                                      | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 08/01/2018                              |                        | M                  | 2,762 (1)   | A                | \$<br>42.06                                | 31,703   | D                          |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Date, if TransactionDerivative Code Securities |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |  |
|---|---|--------------------------------------|---|--|-------|--|---------------------|---|-----------------|--|
|   |   |                                      |   | Code V   | (A)   | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| 2018<br>Restricted<br>Stock<br>Units                | (2)   | 08/01/2018                           |   | A  | 4,221 |  | (3)                 | (3)   | Common<br>Stock | 4,221                                  |
| 2017<br>Restricted<br>Stock<br>Units                | (2)   | 08/01/2018                           |   | M  |       | 1,067  | (3)                 | <u>(3)</u>  | Common<br>Stock | 4,269                                  |
| 2016<br>Restricted<br>Stock<br>Units                | (2)   | 08/01/2018                           |   | M  |       | 1,044  | (3)                 | (3)   | Common<br>Stock | 3,133                                  |
| 2015<br>Restricted<br>Stock<br>Units                | (2)   |                                      |   |  |       |  | (3)                 | (3)   | Common<br>Stock | 2,227                                  |
| 2014<br>Restricted<br>Stock<br>Units                | (2)   | 08/01/2018                           |   | M  |       | 651  | (3)                 | <u>(3)</u>  | Common<br>Stock | 651                                    |

# **Reporting Owners**

\*\*Signature of Reporting Person

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| <b>FB</b>   | Director      | 10% Owner | Officer | Other |  |  |  |
| Malone Robert A<br>P.O. BOX 437<br>289 PR 3344<br>SONORA, TX 76950-0437 | X             |           |         |       |  |  |  |
| Signatures  |               |           |         |       |  |  |  |
| /s/ Bruce A. Metzinger, by Pov<br>Attorney                              | 08/03/2018    |           |         |       |  |  |  |

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Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of 25% of restricted stock units granted on August 1, 2014, August 1, 2016, and August 1, 2017.
- (2) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (3) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.