Edgar Filing: BIG LOTS INC - Form 4

| BIG LOTS I Form 4 March 14, 2 FORM Check th | 016 1 4 _{UNITED S} nis box | TATES S | | | | ND EXO D.C. 20 | | NGE C | OMMISSION | OMB AF OMB Number: Expires: | PROVAL 3235-0287 January 31, | | |
|---|---|---|-------|--|-------------|---------------------------------------|-----------------------|-------------|---|--|---|--|--|
| if no lon subject t Section Form 4 c Form 5 obligatic may con <i>See</i> Instr 1(b) | o STATEM 16. or Filed purs ons tinue. Section 17(a | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | Estimated average burden hours per response 0.5 | | |
| 1(b). (Print or Type Responses) | | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person 2. Issuer JOHNSON TIMOTHY A Symbol | | | | r Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) 3. Date of (Month/D 300 PHILLIPI ROAD 03/10/20 | | | | - | | | | | (Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) <u>below</u>) Executive Vice President | | | | |
| | | | | ndment, Date Original hth/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| (City) | | Zip) | Table | e I - No | on-D | erivative | Secur | ities Acq | Person uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | .Title of ecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if | | | | actio 8) | 4. Securi n(A) or Di (Instr. 3, | spose 4 and (A) | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial | | |
| ~ | | | | Code | V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock | 03/10/2016 | | | А | | 9,854 | А | \$0 | 92,665 | D | | | |
| Common Stock | 03/10/2016 | | | F <u>(1)</u> | | 1,085 | D | \$ 44.87 | 91,580 | D | | | |
| Common Stock | 03/10/2016 | | | S <u>(2)</u> | | 2,166 | D | \$ 45.47 | 89,414 | D | | | |
| Common Stock | 03/11/2016 | | | F <u>(1)</u> | | 1,688 | D | \$ 45.65 | 87,726 | D | | | |
| Common Stock | 03/11/2016 | | | S <u>(2)</u> | | 3,373 | D | \$ 45.86 | 84,353 | D | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title a | and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|-------------|---------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amount | of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underly | ing | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securitie | es | (Instr. 5) | Bene |
| | Derivative | | | | Securities | 3 | | (Instr. 3 | and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | ٨ | mount | | |
| | | | | | | | | | | | |
| | | | | | | Date | Expiration | Ol Title N | | | |
| | | | | | | Exercisable Date | | | | | |
| | | | | | (A) (D) | | | of | | | |
| | | | | Code V | (A) (D) | | | S | hares | | |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | |
|--|-------------|-----------|--------------------------|-------|--|--|
| Teporting of the real of real of | Director | 10% Owner | Officer | Other | | |
| JOHNSON TIMOTHY A 300 PHILLIPI ROAD COLUMBUS, OH 43228 | | | Executive Vice President | | | |
| Signatures | | | | | | |
| Joseph Y. Heuer, Attorney-in-f Johnson | fact for Ti | mothy A. | 03/14/2016 | | | |
| <u>**</u> Signature of Reporti | ng Person | | Date | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person elected, pursuant to a plan intended to comply with Rule 10b5-1, to allow the issuer to withhold common shares to satisfy taxes applicable to the vesting of an equity award.
- (2) The sale reported in this Form 4 was made pursuant to a plan intended to comply with Rule 10b5-1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.