

CHIASMA, INC  
Form 3  
July 15, 2015

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â ORLEV CHAIME

(Last) (First) (Middle)

C/O CHIASMA, INC.,Â 60  
WELLS AVENUE, SUITE 102

(Street)

NEWTON,Â MAÂ 02459

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/15/2015

3. Issuer Name **and** Ticker or Trading Symbol  
CHIASMA, INC [CHMA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
See Remarks

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

(Instr. 5)

Stock Option (right to buy)	Â <u>(1)</u>	02/07/2021	Common Stock	3,185	\$ 0.09	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	09/20/2021	Common Stock	19,002	\$ 0.09	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	07/10/2022	Common Stock	5,475	\$ 0.09	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	09/12/2022	Common Stock	14,058	\$ 0.09	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	11/15/2024	Common Stock	41,721	\$ 3.29	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	04/13/2025	Common Stock	32,978	\$ 5.57	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ORLEV CHAIME C/O CHIASMA, INC. 60 WELLS AVENUE, SUITE 102 NEWTON,Â MAÂ 02459	Â	Â	Â See Remarks	Â

## Signatures

/s/ Mark J. Fitzpatrick,  
Attorney-in-Fact

07/15/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested and exercisable.
- (2) This option vests over a four-year period at a rate of twenty-five percent (25%) on September 7, 2012 and in 12 equal quarterly installments thereafter.
- (3) This option vests over a four-year period at a rate of twenty-five percent (25%) on December 13, 2012 and in 12 equal quarterly installments thereafter.
- (4) This option vests over a four-year period at a rate of twenty-five percent (25%) on September 12, 2013 and in 12 equal quarterly installments thereafter.
- (5) This option vests over a four-year period in 48 equal monthly installments which began on October 21, 2014.
- (6) This option vests over a four-year period in 48 equal monthly installments which began on April 14, 2015.

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### Remarks:

ViceÂ President,Â FinanceÂ andÂ Administration.

## Edgar Filing: CHIASMA, INC - Form 3

### Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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