Edgar Filing: PennantPark Floating Rate Capital Ltd. - Form 4

PennantPark Floating Rate Capital Ltd. Form 4 August 21, 2015

Common

Stock

08/20/2015

FORM 4								OMB APPROVAL				
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-	0287		
Check this bo if no longer		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Janua	ry 31, 2005	
subject to Section 16. Form 4 or Form 5										verage rs per	0.5	
obligations may continue. <i>See</i> Instruction 1(b).	Section 17(a) of the H	Public U		ding Con	npany /	Act of	1935 or Section				
Print or Type Respo	onses)											
			2. Issuer Name and Ticker or Trading Symbol PennantPark Floating Rate Capital Ltd. [PFLT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 590 MADISON FLOOR	(Last) (First) (Middle) 3. Date (Month/ MADISON AVENUE, 15TH 08/20/			of Earliest Transaction /Day/Year)				Director X Officer (give t below)		Owner r (specify		
				fonth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK, N	IY 10022							Form filed by Mo Person	ore than One Re	porting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securiti	es Acqu	ired, Disposed of,	or Beneficial	ly Owned	d	
1.Title of Security (Month/Day/Year) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)			Date, if	3. 4. Securities Acquired (A Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Natu Indirec Benefic Owner (Instr. 4	et cial ship	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Р

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

28,750

D

\$

12.8546

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

15,000 A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Under Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Efrat Aviv 590 MADISON AVENUE, NEW YORK, NY 10022			CFO					
Signatures								
/s/ Aviv Efrat	08/21/2015							

**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.