

WEIL JOHN D
Form 4
August 21, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WEIL JOHN D

2. Issuer Name and Ticker or Trading Symbol
ALLIED HEALTHCARE PRODUCTS INC [AHPI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
200 N BROADWAY SUITE 825
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/20/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

ST LOUIS, MO 63102

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | 08/20/2018 | | J | (A) or (D) | 36,936.5 (1) A \$ 0 | D | |
| Common Stock | | | | | 2,500 | I | IRA |
| Common Stock | | | | | 94,427 (2) | I | Spouse |
| Common Stock | | | | | 59,101 (3) | I | Trust |
| Common Stock | | | | | 7,927 (5) | I | Corporation |

Edgar Filing: WEIL JOHN D - Form 4

| | | | | | | | | |
|--------------|------------|---|------------------------|---|------|------------------------|---|---------------------|
| Common Stock | | | | | | 119,792 ⁽⁶⁾ | I | Trust |
| Common Stock | 08/20/2018 | J | 357,304 ⁽⁴⁾ | D | \$ 0 | 137,735 ⁽⁸⁾ | I | Limited Partnership |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Option (right to buy) | \$ 4.05 | | | | | 11/13/2009 ⁽⁷⁾ 11/13/2018 | Common Stock 1,500 |
| Option (right to buy) | \$ 5.04 | | | | | 11/13/2010 11/13/2019 ⁽⁷⁾ | Common Stock 1,500 |
| Option (right to buy) | \$ 4.34 | | | | | 11/11/2011 ⁽⁷⁾ 11/11/2020 | Common Stock 1,500 |
| Option (right to buy) | \$ 3.555 | | | | | 11/10/2012 ⁽⁷⁾ 11/10/2021 | Common Stock 1,500 |
| Option (right to buy) | \$ 2.59 | | | | | 11/08/2013 ⁽⁷⁾ 11/08/2022 | Common Stock 1,500 |
| Option (right to buy) | \$ 2.31 | | | | | 11/14/2014 ⁽⁷⁾ 11/14/2023 | Common Stock 1,500 |

| | | | | | |
|--------------------------|---------|---------------------------|------------|--------------|-------|
| Option (right to buy) | \$ 1.58 | 11/13/2015 ⁽⁷⁾ | 11/13/2024 | Common Stock | 1,500 |
| Option (right to buy) | \$ 1.17 | 11/12/2016 ⁽⁷⁾ | 11/12/2025 | Common Stock | 1,500 |
| Option (right to buy) | \$ 1.13 | 11/10/2017 ⁽⁷⁾ | 11/10/2026 | Common Stock | 1,500 |
| Option (right to buy) | \$ 2.22 | 11/09/2018 ⁽⁷⁾ | 11/09/2027 | Common Stock | 750 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| WEIL JOHN D 200 N BROADWAY SUITE 825 ST LOUIS, MO 63102 | X | X | | |

Signatures

John D. Weil 08/21/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired in a transfer for no consideration from a family limited partnership, as reported on this Form 4 in the last line item of this Table 1, which transaction is exempt from Section 16 pursuant to Rule 16a-13.
- (2) The reporting person disclaims any economic benefit in such shares.
- (3) Owned by a trust for the benefit of the reporting person and for which the reporting person acts as co-trustee.
Shares disposed of in a transfer for no consideration from a family limited partnership to the reporting person and members of the
- (4) reporting person's family, in a transaction exempt from Section 16 pursuant to Rule 16a-13, including the transfer of 36,963.50 shares to the reporting person's direct ownership as reported on this Form 4 in the first line item of the Table 1.
- (5) Owned by a corporation controlled by the reporting person.
- (6) Owned by trusts for which the reporting person acts as co-trustee and with respect to which the reporting person disclaims any economic benefit in such shares.
- (7) Options may not be exercised for a period of one year from the date of the grant and thereafter are exercisable in full.
Owned by a family limited partnership of which the reporting person acts as one of several general partners. Number of shares includes
- (8) all shares held by limited partnership. The reporting person disclaims beneficial ownership of shares held by the limited partnership in excess of the reporting person's proportionate interest as determined pursuant to Rule 16a-1(2)(ii)(B)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.