

HEAT BIOLOGICS, INC.

Form 3

January 08, 2015

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â ARISTAR CAPITAL
MANAGEMENT, LLC

(Last) (First) (Middle)

1120 AVENUE OF THE
AMERICAS,Â SUITE 1514

(Street)

NEW YORK,Â NYÂ 10036

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
01/01/20153. Issuer Name **and** Ticker or Trading Symbol
HEAT BIOLOGICS, INC. [HTBX]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

697,303

I

See Footnote ⁽¹⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARISTAR CAPITAL MANAGEMENT, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036	Â	Â X	Â	Â
ARISTAR HEAT, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036	Â	Â X	Â	Â
ARISTAR CAPITAL MANAGEMENT GP, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036	Â	Â X	Â	Â

Signatures

Aristar Capital Management, LLC By: /s/ Edward B. Smith, III Edward B. Smith, III, 01/08/2015
Managing Member

__Signature of Reporting Person Date

Aristar Heat, LLC By: /s/ Aristar Capital Management GP, LLC, its Managing Member By: /s/ Edward B. Smith, III 01/08/2015

__Signature of Reporting Person Date

Aristar Capital Management GP, LLC By: /s/ Edward B. Smith, III Edward B. Smith, III, 01/08/2015
Managing Member

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held in the account of Aristar Ventures III, LLC ("Aristar Ventures III") and may be deemed to be owned by (a) Aristar Capital Management, LLC, the investment manager of Aristar Ventures III, (b) Aristar Heat, LLC, the managing member of Aristar Ventures III, (c) Aristar Capital Management GP, LLC, the managing member of Aristar Heat, LLC and (d) Edward B. Smith III, the managing member of Aristar Capital Management, LLC. Each reporting person disclaims beneficial ownership of these reported securities except to the extent of its pecuniary interest therein, and this report shall not be deemed an admission that any such person is the beneficial owner of these securities for purposes of Section 16 of the U.S. Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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